# Redox-Controllable Amphiphilic [2]Rotaxanes

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Abstract: With the fabrication of molecular electronic devices (MEDs) and the construction of nanoelectromechanical systems (NEMSs) as incentives, two constitutionally isomeric, redox-controllable [2]rotaxanes have been synthesized and characterized in solution. Therein, they both behave as near-perfect molecular switches, that is, to all intents and purposes, these two rotaxanes can be switched precisely by applying appropriate redox stimuli between two distinct chemomechanical states. Their dumbbell-shaped components are composed of polyether chains interrupted along their lengths by i) two  $\pi$ -electron rich recognition sites–a tetrathiafulvalene (TTF) unit and a 1,5-dioxynaphthalene (DNP) moiety–with ii) a rigid terphenylene spacer placed between the two recognition sites, and then terminated by iii) a hydrophobic tetraarylmethane stopper at one end and a hydrophilic dendritic stopper at the other end of the dumbbells, thus conferring amphiphilicity upon these molecules. A template-directed protocol produces a means to introduce the tetracationic cyclophane, cyclobis(paraquat-p-phenylene) (CBPQT<sup>4+</sup>), which contains two  $\pi$ electron accepting bipyridinium units,

mechanically interlocked around the dumbbell-shaped components. Both the TTF unit and the DNP moiety are potential stations for  $CBPQT^{4+}$ , since they can establish charge-transfer and hydrogen bonding interactions with the bipyridinium units of the cyclophane, thereby introducing bistability into the [2]rotaxanes. In both constitutional isomers, <sup>1</sup>H NMR and absorption spectroscopies, together with electrochemical investigations, reveal that the  $CBPQT^{4+}$  ring is predominantly located on the TTF unit, leading to the existence of a single translational isomer (co-conformation) in both cases. In addition, a model [2]rotaxane, incorporating hydrophobic tetraarylmethane stoppers at both ends of its dumbbellshaped component, has also been synthesized as a point of reference. Molecular synthetic approaches were used to construct convergently the dumbbellshaped compounds by assembling progressively smaller building blocks in



the shape of the rigid spacer, the TTF unit and the DNP moiety, and the hydrophobic and hydrophilic stoppers. The two amphiphilic bistable [2]rotaxanes are constitutional isomers in the sense that, in one constitution, the TTF unit is adjacent to the hydrophobic stopper, whereas in the other, it is next to the hydrophilic stopper. All three bistable [2]rotaxanes have been isolated as green solids. Electrospray and fast atom bombardment mass spectra support the gross structural assignments given to all three of these mechanically interlocked compounds. Their photophysical and electrochemical properties have been investigated in acetonitrile. The results obtained from these investigations confirm that, in all three [2]rotaxanes, i) the  $CBPQT^{4+}$  cyclophane encircles the TTF unit, ii) the  $CBPOT<sup>4+</sup>$  cyclophane shuttles between the TTF and DNP stations upon electrochemical or chemical oxidation/reduction of the TTF unit, and iii) folded conformations are present in which the  $CBPQT<sup>4+</sup>$  cyclophane, while encircling the TTF unit, interacts through its  $\pi$ accepting bipyridinium exteriors with other  $\pi$ -donating components of the dumbbells, especially those located within the stoppers.



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## Introduction

Supramolecular chemistry<sup>[1]</sup> and self-assembly<sup>[2]</sup> have emerged as a powerful vehicle and key concept, respectively, for the development of synthetic chemistry<sup>[3]</sup> to a point where making rotaxanes using template-directed protocols $[4]$ is now routine. A [2]rotaxane is a molecule composed of a ring and a dumbbell-shaped component.<sup>[5]</sup> The ring component encircles the linear, rod-like portion of the dumbbellshaped component and is trapped mechanically by two bulky stoppers. If two identical recognition sites for the ring component are located within the dumbbell-shaped component, then the outcome is a degenerate equilibrium state in which the ring shuttles back and forth along the linear portion of the dumbbell. Such a [2]rotaxane constitutes a molecular shuttle.[6] When the two different recognition sites which are incorporated into the dumbbell component differ in their constitutions, then the [2]rotaxane can exist in two different equilibrating translational isomers $[7]$  or co-conformations;<sup>[8]</sup> their populations reflect the relative free energies, as determined primarily by the strengths of the two different sets of noncovalent bonding interactions present in the mechanically interlocked molecules. Moreover, these molecules can be activated by switching the recognition sites on and off between the components chemically, $[9]$  electrochemically,<sup>[10]</sup> electrically,<sup>[11]</sup> and optically,<sup>[12]</sup> such that their components perform relative motions–that is, overall, the [2] rotaxane behaves as a controllable molecular shuttle<sup>[6]</sup> wherein the movement of the ring component can be induced by an appropriate stimulus to shuttle in a linear fashion between the two recognition sites. Since the ring and dumbbell-shaped components of these highly ordered interlocked molecules can be induced to perform these relative internal motions, rotaxanes are now prime candidates for the construction of artificial molecular machines, $[13, 14]$  which, in the appropriate solid-state settings, become the blueprints for the fabrication<sup>[11,15]</sup> of molecular electronic devices (MEDs) and the engineering of nanoelectromechanical systems (NEMSs).

Not so long ago, we described<sup>[16]</sup> the template-directed syntheses<sup>[4]</sup> of amphiphilic, bistable rotaxanes composed of dumbbell-shaped components with two recognition sites– namely a monopyrrolo-tetrathiafulvalene (MP-TTF) unit and a 1,5-dioxynaphthalene (DNP) moiety–and a common ring component, that is, cyclobis(paraquat-p-phenylene)<sup>[17]</sup> (CBPQT<sup>4+</sup>). In the ideal switch,  $\lceil \pi-\pi \rceil$  stacking and other interactions<sup>[18]</sup> involving the CBPQ $T^{4+}$  ring and the stronger (MP-TTF) of the two  $\pi$ -electron-donating recognition sites would result in these [2]rotaxanes existing as a single translational isomer with the CBPQT<sup>4+</sup> ring encircling only the MP-TTF unit. In practice, however, electrochemical studies, supported by spectroscopic results, have shown<sup>[16,19]</sup> that, in these particular rotaxanes, both translational isomers are present in approximately 1:1 ratios in  $Me<sub>2</sub>CO$  solutions at room temperature. It follows that, if this equilibrium is the starting point, then oxidation of the MP-TTF units will only activate half of the molecules, that is, only 50% of them are available to undergo switching. This inherent lack of precise mechanical control compromises the performance of these

[2]rotaxanes when it comes to fabricating MEDs and engineering NEMSs.

In an attempt to produce [2]rotaxanes which will function as linear motor-molecules with 100% precision, we have elected to employ a simple disubstituted TTF unit in competition with the DNP moiety. Since we already knew that TTF forms a strong green 1:1 complex  $(K_a=10000 \text{ m}^{-1})$  in  $MeCN$ <sup>[6b, 20]</sup> with CBPQT<sup>4+</sup>, whereas 1,5-dihydroxynaphthalene forms a much weaker red 1:1 complex  $(K_a=770 \text{ m}^{-1})$  in  $MeCN$ <sup>[21]</sup> with CBPQT<sup>4+</sup>, the idea seemed to be a potentially productive one. Moreover, a closely related non-degenerate [2]catenane, based on identical TTF and DNP recognition sites has been demonstrated to behave as a nearly perfect switch, both in solution<sup>[22a,b]</sup> and in a solid-state device.[22c]

In a recent preliminary communication, $[23]$  we have described i) the synthesis of the model [2] rotaxane  $1.4$  PF<sub>6</sub> in which the ring component is  $CBPQT^{4+}$  and the dumbbellshaped component contains both TTF and DNP recognition sites, separated by a rigid terphenylene spacer and terminated by two hydrophobic tetraarylmethane stoppers, and ii) the characterization by UV/Vis and <sup>1</sup>H NMR spectroscopies of the "clean" redox switching action in solution of this bistable rotaxane, wherein a relative mechanical movement of several nanometers on the part of the CBPQT<sup>4+</sup> ring occurs along the axis of the dumbbell, assuming the gross structure of its rod section to be linear, as portrayed in Figure 1.

In order to investigate the properties and characteristics of solid-state devices, based on this nearly perfect electromechanical switch, we have identified, as synthetic targets, two amphiphilic bistable [2]rotaxanes,  $3.4$  PF<sub>6</sub> and  $5.4$  PF<sub>6</sub> in which the ring component  $(CBPOT^{4+})$  and the rod section–containing TTF and DNP recognition sites separated by a terphenylene spacer–of the dumbbell-shaped component are retained but in which one of the two hydrophobic stoppers is replaced in turn by a hydrophilic dendritic one. It is clear from a perusal of Figure 1 that these two rotaxanes are constitutional isomers in the sense that, in  $3^{4+}$ , the TTF unit encircled by the CBPQT $4+$  ring, is adjacent to the hydrophobic stopper, whereas, in  $5^{4+}$ , it is next to the hydrophilic stopper. Although we would expect these two bistable rotaxanes, because of their amphiphilic character, to be largely elongated within Langmuir monolayers at the airwater interface, and in Langmuir-Blodgett (LB) films in device settings (Figure 1b and c), the fact that all three of these rotaxanes, namely  $1^{4+}$ ,  $3^{4+}$ , and  $5^{4+}$ , are relatively long and flexible means that quite a number of alongside intramolecular interactions involving the CBPQ $T^{4+}$  ring and the DNP moiety, as well as  $\pi$ -electron rich aromatic units present in the hydrophilic-dendritic and hydrophobic stoppers of  $3^{4+}$  and  $5^{4+}$ , could lead to some rather contorted and exotic conformations<sup>[10c, 19, 24]</sup> being populated in solution. It is important that the solution-state properties of these bistable amphiphilic [2]rotaxanes are understood at the same time as their performances in devices are being evaluated.

In this paper, we describe the template-directed synthesis of the three bistable [2]rotaxanes shown in Figure 1– namely i) the model rotaxane  $1.4$  PF<sub>6</sub> and ii) the two consti-



Figure 1. a) Structural formulas of the bistable [2]rotaxane  $1.4$  PF<sub>6</sub> and amphiphilic bistable [2]rotaxanes 3.4 PF<sub>6</sub> and 5.4 PF<sub>6</sub>. b) and c) Idealized graphical representations of the two constitutional isomers  $3^{4+}$  and  $5^{4+}$ , respectively, in device settings between polysilicon and Ti/Al electrodes. The LB technique is used in the first place to self-organize these two amphiphilic bistable [2]rotaxanes.

the amphiphilic [2]rotaxanes 3.4 PF $_6$  and 5.4 PF $_6$  revealed a considerable range of possible synthetic pathways. Common to all of these possible routes is the key clipping reaction to form the CBPQ $T^{4+}$  ring around the dumbbell-shaped compounds, a template-directed procedure which always constitutes the last step of the syntheses, which are presented in Schemes 1-5. In order to obtain the dumbbell compounds 2, 4, and 6 as conveniently as possible, a modular synthetic approach was adopted for the progressive assembly of the five different fragments—namely i) the hydrophobic tetraarylmethane  $stopper^{[11c, 27]}$  12 (Scheme 1), ii) the hydrophilic dendritic stopper<sup>[11c, 26]</sup> (Schemes 4 and 5), iii) the TTF building block 10, (Scheme 1), iv) the DNP building block $[25]$ 24 (Scheme 4), and v) the terphenylene spacer which was introduced by first incorporating the biphenyl 16 into an intermediate compound, followed by coupling (Schemes 2 and 4) with the Grignard reagent 19. Since all the synthetic pathways share these common intermediates, the syntheses of [2]rotaxanes  $1.4$  PF<sub>6</sub>,  $3.4$  PF<sub>6</sub>, and  $5.4$  PF<sub>6</sub>

tutional isomers  $3.4$  PF<sub>6</sub> and  $5.4$  PF<sub>6</sub>, prior to iii) presenting and discussing the results of mass spectrometry and <sup>1</sup>H NMR spectroscopic, photophysical, and electrochemical

can be achieved efficiently with reasonably little effort. Moreover, the reactions, which were chosen (Schemes  $1-5$ ) for connecting each fragment, are nucleophilic substitutions

investigations carried out on all three [2]rotaxanes, as well as on the corresponding dumbbell compounds 2, 4, and 6 (Schemes  $3-5$ ) and their model compounds (Figure 2)–namely, **10, 35, CBPQT**<sup>4+</sup> (36<sup>4+</sup>), 37,<sup>[25]</sup> **38**,<sup>[19]</sup> and **39**<sup>[26]</sup> The discussion is concluded by iv) presenting the results of redox-switching experiments carried out on all three bistable [2]rotaxanes.

### Results and Discussion

Design and synthetic strategy: Retrosynthetic analyses of the model [2] rotaxane  $1.4$  PF<sub>6</sub> and



Figure 2. Structural formulas of the cyclophane  $36.4 \text{PF}_6$ , and of the compounds used as models.

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Scheme 1. Synthesis of the TTF containing half-dumbbell compound 14.

between the bromides or monotosylates and the appropriate alcohols in the presence of basic reagents and these reactions are usually high yielding and reproducible. Using this modular strategy, the model [2] rotaxane  $1.4$  PF<sub>6</sub>, and the two amphiphilic [2] rotaxanes  $3.4$  PF<sub>6</sub> and  $5.4$  PF<sub>6</sub>, were obtained (Schemes  $1-5$ ) with relative ease.

Synthesis: The route for the synthesis of the model [2]rotaxane  $1.4$  PF<sub>6</sub> is described in Schemes 1–3. The dumbbellshaped compound 2 was obtained (Scheme 3) in 47% yield by alkylating the phenol 21 (produced in Scheme 2), with the tosylate 14 (produced in Scheme 1) using LiBr and [18]crown-6 as catalysts in the presence of  $K_2CO_3$  as base. The preparation of the TTF-containing half-dumbbell compound 14 (Scheme 1) begins with alkylation of the diol<sup>[28]</sup> 7 by the iodide<sup>[29]</sup> 8 in THF using eight equivalents of NaH. Without purification, the resulting compound 9 was converted into the diol 10 by HCl-catalyzed deprotection in a MeOH/CH<sub>2</sub>Cl<sub>2</sub> solvent mixture with an overall yield of  $60\%$ for the two steps. Subsequent tosylation of the diol 10 with one equivalent of TsCl afforded the monotosylate 11 in 45% yield after flash column chromatography. The high yielding (95%) alkylation of the phenolic tetraarylmethane stopper $[11c,$  $27$ ] 12 with the monotosylate 11 was achieved in MeCN with LiBr and [18]crown-6 as catalysts in the presence of  $K_2CO_3$ as base. Tosylation of the resulting alcohol 13 gave the tosylate 14 in 88% yield. The preparation of the DNP-containing half-dumbbell-shaped compound 21 is outlined in Scheme 2. Using conventional alkylation conditions  $(K, CO<sub>3</sub>)$  $LiBr/[18]$ crown-6/MeCN), 4bromo- $(1,1'-biphenvl)$ -4-ol  $(16)$ was treated with the bromide<sup>[16,</sup>

 $19$ ] 15 to give compound 17 in 77% yield. The synthesis of the terphenylene compound 20 was accomplished by means of a Pd<sup>0</sup>-catalyzed cross-coupling reaction in THF with the freshly prepared Grignard reagent 19 from the THP-ether 18 of p-bromophenol. HCl-Catalyzed deprotection of compound 20 gave the phenol 21 in 71% yield overall. The [2]rotaxane  $1.4$  PF<sub>6</sub> was obtained (Scheme 3) by a templatedirected synthesis, using the dumbbell compound 2 as a template, to form a  $CBPQT^{4+}$  ring from its dicationic precursor<sup>[30]</sup> **22**•2PF<sub>6</sub> and  $\alpha$ , $\alpha'$ -dibromoxylene (23). The [2] rotaxane  $1.4$  PF<sub>6</sub> was isolated in 39% yield as an analytically pure green solid after chromatography on silica gel using a 1%  $NH_4PF_6$  solution in Me<sub>2</sub>CO as the eluent.

The sequence of steps employed in the synthesis of the rotaxane  $3.4$  PF<sub>6</sub> and its precursor dumbbell compound 4 is summarized in Scheme 4. The monobromination of the diol<sup>[25]</sup> **24** with CBr<sub>4</sub> and PPh<sub>3</sub> afforded in 35% yield the bromide 25 which was subsequently treated with TBDMSCl to obtain the silyl ether 26 in quantitative yield. Alkylation of 26 with 4-bromo-(1,1'-biphenyl)-4-ol (16) gave compound



Scheme 2. Synthesis of the DNP containing half-dumbbell compound 21.

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Scheme 3. Synthesis of the bistable [2] rotaxane  $1.4$  PF<sub>6</sub>.

27 in 62% yield. The synthesis of the terphenylene derivative 28 was accomplished by the cross-coupling reaction between 27 and the freshly prepared Grignard reagent 19 in the presence of  $[Pd(PPh<sub>3</sub>)<sub>4</sub>]$ . TFA-Catalyzed deprotection of 28 gave the phenol 29 in 71% yield overall. The TTF-DNPcontaining half-dumbbell 30 was obtained in 82% yield by carrying out an alkylation between phenol 29 and tosylate 14 with  $K_2CO_3$  as base in MeCN. In the presence of NaH, the half-dumbbell compound 30 was treated with the dendritic chloride<sup>[11c, 26]</sup> 31 with NaI and [15]crown-5 as catalysts to afford the dumbbell compound 4 in 51% yield. The template-directed synthesis of the [2]rotaxane  $3.4$  PF<sub>6</sub> proceeded in 60% yield when the reaction of the dumbbell compound 4, the dicationic salt  $22.2PF_6$  and dibromide 23, using exactly the same reaction and isolation conditions of those employed in the preparation of  $1.4$  PF<sub>6</sub>, was carried out.

The template-directed synthesis of the rotaxane  $5.4$  PF<sub>6</sub> from its dumbbell-shaped precursor 6 is summarized in Scheme 5. The half-dumbbell 32 was obtained in 64% yield from a substitution reaction  $(K_2CO_3/LiBr/[18]$ crown-6/ MeCN) carried out between monotosylate 11 and phenol 21. The dendritic chloride<sup>[11c, 26]</sup> 31 and the half-dumbbell 32 were treated in the presence of NaH, NaI and [15]crown-5 to afford the dumbbell compound 6 in 66% yield. Once again, the template-directed synthesis of the [2]rotaxane 5.4 PF<sub>6</sub> was achieved, this time in 57% yield from the dumbbell compound 6, using the protocol already described for making  $3-4$  PF<sub>6</sub>.

The model compound 35 was synthesized (Scheme 6) by alkylation of the diol<sup>[31]</sup> 33 with the chloride 34 in DMF in the presence of  $K_2CO_3$  and LiBr.

Mass spectrometric investigation: Mass spectral data for the [2]rotaxanes  $1.4$  PF<sub>6</sub>,  $3.4$  PF<sub>6</sub>, and  $5.4$  PF<sub>6</sub> are summarized in Table 1. The model [2] rotaxane  $1.4$  PF<sub>6</sub> was characterized by electrospray (ES) mass spectrometry. The ES mass spectrum of  $1.4$  PF<sub>6</sub> revealed five peaks which correspond to the doubly positively-charged ions  $[M-2PF_6]^{2+}$  and  $[M-3PF_6]^{2+}$ , the triply positively-charged ion  $[M-3PF_6]$ <sup>3+</sup>, and the quadruply positively-charged ion  $[M-4PF_6]^{4+}$ . The amphiphilic [2] rotaxanes  $3.4$  PF<sub>6</sub> and  $5.4$  PF<sub>6</sub> were characterized by fast atom bombardment (FAB) mass spectrometry. In both cases, six major peaks, corresponding to the singly positively-charged ions  $[M-2PF_6]^+$ ,  $[M-2PF_6]^+$ , and  $[M-3PF_6]^+$ and doubly positively charged ions  $[M-2PF_6]^2$ <sup>+</sup>,  $[M-3PF<sub>6</sub>]<sup>2+</sup>$ , and  $[M-4PF<sub>6</sub>]<sup>2+</sup>$ , were observed in their FAB mass spectra.

<sup>1</sup>H NMR Spectroscopic investigation: Only one translational isomer—the one in which the CBPQ $T^{4+}$  ring encircles the TTF unit—is indicated by  ${}^{1}H$  NMR spectroscopy to be present in the intensely green  $CD_3CN$  solutions of each of the three [2] rotaxanes  $1.4$  PF<sub>6</sub>,  $3.4$  PF<sub>6</sub>, and  $5.4$  PF<sub>6</sub> recorded at



Scheme 4. Synthesis of the amphiphilic, bistable [2] rotaxane  $3.4$  PF<sub>6</sub>.

room temperature. The  ${}^{1}$ H NMR spectra (Figure 3) of all three of these compounds in  $CD_3CN$  at 500 MHz exhibit a highly characteristic signature--that is, in each case, two pairs of signals of unequal intensities resonating at  $\delta$  5.98, 6.06, 6.17, and 6.23 for 1.4 PF<sub>6</sub> (Figure 3a), at  $\delta$  5.96, 6.06, 6.16, and 6.22 for  $3.4$  PF<sub>6</sub> (Figure 3b), and at  $\delta$  5.92, 5.98, 6.10, and 6.20 for  $5.4$  PF<sub>6</sub> (Figure 3c)—for the constitutionally heterotopic methine protons on the CBPOT<sup>4+</sup>-encircled TTF units with equilibrating (slow on the  ${}^{1}$ H NMR timescale but fast on the isolation one) pairs of cis and trans isomers as a result of torsion about the formal double bond in the midst of the disubstituted TTF units. So far, we have not been able to assign the two pairs of singlets of unequal intensities to the cis and trans isomers, but we believe they are the only two species that are significantly populated in solutions of  $1^{4+}$ ,  $3^{4+}$ , and  $5^{4+}$  as their  $4PF_6^-$  salts. Variable temperature <sup>1</sup>H NMR experiments were performed on all three compounds in both  $CD_3CN$  and  $CD_3COCD_3$  over 170 $\degree$ ranges between 190 and 360 K. No temperature dependent behavior was observed that might be indicative of more than one translational isomer existing in solutions of these [2]rotaxanes. They do, however, exist as a mixture of major

and minor isomers in relation to the cis-trans configurations associated with the CBPQT<sup>4+</sup>-encircled TTF units in  $1^{4+}$ ,  $3^{4+}$ , and  $5^{4+}$ . Incontroversial evidence for the presence in these three rotaxanes of folded conformations, wherein  $\pi$ donating residues in the dumbbell components associate themselves with the  $\pi$ -accepting bipyridinium exteriors of the CBPQ $T^{4+}$  rings, will be presented subsequently in the subsections (see below) of this Results and Discussion section on photophysical and electrochemical investigations. We just wish to make the point here that there is some circumstantial evidence, from a comparison (Table 2 and Figure 3) of the <sup>1</sup>H NMR spectra of the three rotaxanes, not only for the existence of such folded conformations, but also for their different proportions, and possibly structures as well. Parts a), b), and c) of Figure 3 show, respectively, the <sup>1</sup>H NMR spectra of the rotaxanes  $1^{4+}$ ,  $3^{4+}$ , and  $5^{4+}$  recorded in  $CD_3CN$  at room temperature. Disregarding the peaks in the spectrum (Figure 3b) for  $3^{4+}$  that result from the portions in the hydrophilic stopper, it is evident from close inspections of the relevant spectra (Figure 3a and b) that rotaxane  $1^{4+}$ and  $3^{4+}$  display a very similar array of signals. By contrast, the spectrum (Figure 3c) for the rotaxane  $5^{4+}$  is



Scheme 5. Synthesis of the amphiphilic, bistable [2] rotaxane  $5.4$  PF<sub>6</sub>.



Scheme 6. Synthesis of the terphenyl compound 35.

significantly different from those (Figure 3a and b) of the other two. This point is further emphasized by the chemical shift data presented in Table 2 for selected proton probes in the three rotaxanes. The  $\delta$  values shown in italics for 5.4 PF<sub>6</sub>, differ significantly from those for  $1.4$  PF<sub>6</sub> and  $3.4$  PF<sub>6</sub>. which are themselves very similar, one with the other. These observations anticipate the more detailed analysis (see below), based on electrochemical data, wherein  $1^{4+}$  and  $3^{4+}$ are found to behave quite similarly, while  $5^{4+}$  is the odd one out. It should be noted that the <sup>1</sup>H NMR spectroscopic data is concentration independent, an observation which rules out intermolecular aggregation as a significant contribution to the overall picture.[32]

Photophysical investigations: The photophysical properties were studied in air-equilibrated MeCN solutions at room temperature. Each dumbbell component in the three [2]rotaxanes contains five distinct chromophoric units–namely, a TTF unit, a DNP moiety, a terphenylene spacer, and two stoppers, the same in  $1^{4+}$  and different in  $3^{4+}$  and  $5^{4+}$ . In addition, all three of the [2]rotaxanes contain, of course, the  $CBPQT^{4+}$  ring which consists of two equivalent and non-interacting[33] bipyridinium chromophoric units.

Table 1. MS data for the [2] rotaxanes  $1.4$  PF<sub>6</sub>,  $3.4$  PF<sub>6</sub> and  $5.4$  PF<sub>6</sub>.

[2]Rotaxane	$M^{\text{[a]}}$	$[M-PF_6]$ <sup>+</sup>	$[M-2PF_6]$ <sup>+</sup>	$[M-3PF_6]$ <sup>+</sup>	$[M-2PF_6]^{2+}$	$[M-3PF_6]^{2+}$	$[M-4PF_6]^{2+}$	$[M-3PF_6]$ <sup>3+</sup>	$[M-4PF_6]^{4+}$
1.4 $PF_6^{[b]}$	(3020)	$\qquad \qquad \  \  \, -\qquad \qquad$	$\hspace{0.1mm}-\hspace{0.1mm}$	$\overline{\phantom{0}}$	1366	1293	$\hspace{0.1mm}-\hspace{0.1mm}$	862	610
3.4 $PF_6^{[c]}$	(3324)	3179	3034	2888	1517	1445	1372		
5.4 $PF6$ <sup>[c]</sup>	(3324)	3178	3035	2889	1517	1446	1372		$\overline{\phantom{0}}$

[a] The peaks corresponding to the molecular ion were not observed. The molecular weights are shown in parentheses. [b] ESI-MS data. [c] FAB-MS data.

Table 2. Selected chemical shifts ( $\delta$  values) from the <sup>1</sup>H NMR spectra<sup>[a]</sup> of the [2]rotaxanes **1**<sup>4</sup>PF<sub>6</sub>, **3**<sup>4</sup>PF<sub>6</sub> and 5.4 PF<sub>6</sub>

[2]Rotaxane	$H_a^{[b]}$	TTF Protons <sup>[b]</sup>	$-C(H, O), C6H, CH, O[b]$	$-CH_2 N^{+[b]}$
$1.4$ PF $_{6}$ <sup>[c]</sup>	8.97, 8.87, 8.79	6.23, 6.17, 6.06, 5.98	$\hspace{0.1mm}-\hspace{0.1mm}$	5.76–5.47
$3.4$ PF $_{6}$ <sup>[c]</sup>	8.95, 8.86, 8.77	6.22, 6.16, 6.06, 5.96	4.90, 4.80	$5.71 - 5.43$
$5.4$ PF $_{6}$ <sup>[c]</sup>	8.88, 8.76, 8.62	6.20, 6.10, 5.98, 5.92	4.80, 4.74	$5.66 - 5.32$

[a] The <sup>1</sup>H NMR spectra were recorded at 500 MHz in CD<sub>3</sub>CN at ambient temperature with concentrations of approximately 1 mm. [b] The protons mentioned are depicted on the structural formulas in Figure 1. [c] The <sup>1</sup>H NMR spectra corresponding to  $1.4$  PF<sub>6</sub>,  $3.4$  PF<sub>6</sub>, and  $5.4$  PF<sub>6</sub> are shown in a), b), and c), respectively, in Figure 9.



Figure 3. <sup>1</sup>H NMR Spectra of the rotaxanes a)  $1.4$  PF<sub>6</sub>, b)  $3.4$  PF<sub>6</sub> and c)  $5.4$  PF<sub>6</sub> recorded in CD<sub>3</sub>CN at 500 MHz at room temperature.



Figure 4. Absorption spectra of the free cyclophane  $36^{4+}$  and of the model compounds of the chromophoric units incorporated in dumbbellshaped compounds 2, 4, and 6. The emission spectra of the fluorescent compounds 35, 37, and 38 are shown in the inset (air equilibrated MeCN solution, room temperature).

The photophysical investigations were carried out on three major groups of compounds, i) the reference compounds, namely the cyclophane<sup>[17]</sup> CBPOT<sup>4+</sup> (36<sup>4+</sup>) and the

model compounds 10, 35, 37,<sup>[25]</sup> **38**,<sup>[19]</sup> and **39**<sup>[26]</sup> (Figure 2), ii) the dumbbell compounds 2, 4, and 6, and iii) the model [2] rotaxane  $1^{4+}$  and the constitutionally isomeric [2]rotaxanes  $3^{4+}$  and  $5^{4+}$ .

Cyclophane  $CBPOT^{4+}$  (36<sup>4+</sup>) and model compounds 10, 35, 37, 38, and 39: The absorption spectra of  $36^{4+}$  and the model compounds, serving as references for the appropriate chromophore units of the dumbbell components 2, 4, and 6 are shown in Figure 4. None of these compounds shows absorption bands in the visible region with the exception of compound 10 which has a very weak absorption band with a maximum at around 450 nm. Compounds 35, 37, and 38 display, as expected,[34] strong fluorescence bands in the region of 300±400 nm (Figure 4, inset) whereas compound 10, the cyclophane  $36^{4+}$  and compound 39 do not show any emission. The lack of emission by 39, which contains potentially fluorescent aryloxy subunits, has been noted previously.<sup>[19]</sup> The lowest energy emission band  $(\lambda_{\text{max}}=360 \text{ nm})$  is that exhibited

by the dialkoxyterphenylene spacer 35: it is characterized by a very high quantum yield (0.95) and a short lifetime (1.4 ns).

Dumbbells 2, 4, and 6: The absorption spectra of the dumbbell compounds 2, 4, and 6 are very similar to the sum of the spectra of the model compounds 10, 35, 37, 38, and 39 for their component units. None of the dumbbells, however, exhibit any emission, which shows that the potentially fluorescent excited states of components 35, 37, and 38 are quenched very efficiently.<sup>[35]</sup> This observation indicates that, in the ground state, there is no significant intramolecular interaction among the various chromophoric groups present in the dumbbell compounds. This result is not unexpected since, in the dumbbells, the lowest excited state is that of the non-emitting TTF unit  $10^{[22b]}$  as shown by the absorption spectra displayed in Figure 4. In fact, i) the fluorescent excited states of the DNP moiety and the aryloxy units of the tetraarylmethane stopper reference compounds 37 and 38, respectively, can be quenched efficiently by Förster-type energy transfer<sup>[36]</sup> since their emission bands overlap the absorption bands of the TTF and spacer model compounds 10 and 35, respectively, and ii) the fluorescent excited state of the terphenylene reference compound 35, in its turn, can be

quenched efficiently since its fluorescence band overlaps the absorption band of the TTF compound 10. Moreover, a reductive electron transfer of the excited states of the DNP moiety, the terphenylene spacer, and the hydrophobic stopper by the ground state of the TTF unit can also be expected in view of its low oxidation potential  $(+0.35 \text{ V} \text{ vs } \text{SCE})$ and the high energies of the excited states.

[2]Rotaxanes  $1^{4+}$ ,  $3^{4+}$ , and  $5^{4+}$ : A comparison between the absorption spectra of the rotaxanes  $1^{4+}$ ,  $3^{4+}$ , and  $5^{4+}$  with the sum of the spectra of the CBPOT<sup>4+</sup> cyclophane and of the respective dumbbells, 2, 4, and 6 shows that there are small differences in the UV spectral region and a generally higher absorbance in the rotaxane spectra above 350 nm, characterized by a broad and relatively weak band with  $\lambda_{\text{max}}$  = 840 nm (see, for example, Figure 5). Such an absorp-



Figure 5. Comparison of the absorption spectrum of [2] rotaxane  $3^{4+}$ (solid line) and the sum (dashed line) of the spectra of its dumbbell (4) and ring  $(36^{4+})$  components. The inset shows the CT region of the absorption spectra of the [2] rotaxanes  $1^{4+}$ ,  $3^{4+}$  and  $5^{4+}$  (MeCN solution, room temperature).

tion band is typical of charge transfer (CT) interactions arising when a TTF unit is encircled by the CBPQ $T^{4+}$  cyclophane.[22b] It is also known that the CT interaction, arising when the CBPQT<sup>4+</sup> cyclophane encircles a DNP moiety (which is more difficult to oxidize than a TTF unit), results<sup>[22a]</sup> in an absorption band at 520 nm. CT Bands at higher energies are expected to arise from the interactions between the cyclophane CBPQT<sup>4+</sup> and other, weaker electron-donor units present within the dumbbells. It should be noted (inset in Figure 5) that the spectra of the three rotaxanes  $1^{4+}$ ,  $3^{4+}$ , and  $5^{4+}$  in the CT region are similar but not identical. The presence of absorption bands with maxima at 840 nm and the absence of bands around 520 nm demonstrate that, in all cases, by far the most predominant, if indeed not the only, translational isomer populated is the one in which the  $CBPQT<sup>4+</sup>$  cyclophane encircles the TTF unit as portrayed by the structural formulas shown in Figure 1. This conclusion is entirely consistent with the results obtained from <sup>1</sup>H NMR spectroscopy (see above) and electrochemistry

(see below). The non-negligible absorbance in the 350-550 nm region shows, however, that the CBPQT $4+$  cyclophane, while encircling the TTF unit, is also involved in CT interactions with other electron-donor residues. This observation is consistent with folded conformations; their presence is also indicated by the results of  ${}^{1}H$  NMR spectroscopic and electrochemical experiments. In view of the small differences in the absorbance values and the wide variety of possible CT interactions that can arise in folded conformations, we believe that further speculation concerning which moiety (or moieties) of the dumbbells is (are) engaged in alongside interactions with the CBPQ $T^{4+}$  cyclophane would be premature. The existence of folded conformations could also affect the interaction between the CBPQT $4+$  cyclophane and the encircled TTF units, a situation which could account for the differences in the values of the molar absorption coefficients for the three rotaxanes at 840 nm.

Electrochemical investigations: Electrochemical experiments–namely, cyclic voltammetry (CV) and differential pulse voltammetry (DPV)–were carried out in argonpurged MeCN solutions at room temperature. All potential values are referred to SCE. Since the redox-active bistable rotaxanes  $1^{4+}$ ,  $3^{4+}$ , and  $5^{4+}$  contain several electrochemically active units, they display a rather complex electrochemical behavior. In order to render a potentially daunting task a practical one, we have focused our investigations on the oxidation processes associated with the  $\pi$ -electron-rich units, that is, the TTF unit and the DNP moiety, in the dumbbell components, and on the reduction processes involving the  $\pi$ electron deficient bipyridinium units of the cyclophane component. For comparison purposes, the electrochemical properties of the free dumbbell compounds 2, 4, and 6, the free cyclophane  $36^{4+}$  and the model compounds 10, 35, 37, 38, and 39 for the electro-active units contained in the dumbbells have also been investigated. Some of the results from the electrochemical investigations are summarized in Table 3.

Table 3. Electrochemical data<sup>[a]</sup> for the TTF and DNP model compounds 10 and 37, the dumbbell compounds 2, 4, and 6, the ring component CBPOT<sup>4+</sup> and the [2]rotaxanes  $1^{4+}$ ,  $3^{4+}$ , and  $5^{4+}$ .

Compounds	TTF <sup>[b]</sup>	$DNP^{[b]}$	4,4'-bipyridinium[b]				
	$E_{\rm ox}$ [V]	$E_{\rm ox}$ [V] <sup>[c]</sup>	$E_{\text{red}}$ [V]				
10	$+0.71$ $+0.35$						
37		$+1.17$					
dumbbell 2	$+0.35$ $+0.72$	$+1.17$					
dumbbell 4	$+0.73$ $+0.35$	$+1.17$					
dumbbell 6	$+0.35$ $+0.73$	$+1.18$					
$CBPOT4+$			$-0.29^{[d]} -0.71^{[d]}$				
[2] rotaxane $1^{4+}$	$+0.74^{\text{[d,e]}}$	$> +1.30$	$-0.82^{[d]}$ $-0.37$ $-0.30$				
[2] rotaxane $3^{4+}$	$+0.74^{\text{[d,e]}}$	$> +1.30$	$-0.81^{[d]}$ $-0.36$ $-0.31$				
[2] rotaxane $5^{4+}$	$+0.73^{[d,e]}$	$> +1.30$	$-0.83^{[d]}$ $-0.40$ $-0.31$				

[a] Argon-purged MeCN, room temperature, tetraethylammonium hexafluorophosphate (TEAPF<sub>6</sub>) as supporting electrolyte, glassy carbon as working electrode; potential values in V vs. SCE; reversible and monoelectronic process, unless otherwise indicated. [b] Unit involved in the observed process. [c] Irreversible process; potential value estimated by the DPV peak. [d] Bielectronic process. [e] Process affected by kinetic complications; potential value estimated by the DPV peak.

Model compounds 10, 35, 37, 38, and 39: These five compounds undergo only oxidation processes in the potential window examined  $(-2.0/+2.0 \text{ V})$ . Compound 10, a model for a free TTF unit, exhibits (Table 3) two one-electron reversible oxidation processes at  $+0.35$ ,  $+0.71$  V, characteristic of TTF.[38] Compound 37 shows (Table 3) an irreversible oxidation process at  $+1.17$  V, that can be easily assigned to the DNP moiety.[22b, 37] The other model compounds for the terphenylene spacer 35, the tetraarylmethane hydrophobic stopper 38 and the dendritic hydrophilic stoppers 39 can only be oxidized at higher potentials: three oxidation processes at  $+1.29$ ,  $+1.43$  and  $+1.52$  V, two at  $+1.55$  and +1.76 V, and four at  $+1.27$ ,  $+1.32$ ,  $+1.59$  and  $+1.73$  V were obtained, respectively, for compounds 35, 38, and 39.

Dumbbell compounds 2, 4, and 6 and the CBPQT<sup>4+</sup> cyclo*phane*  $36^{4+}$ : The free dumbbell compounds 2, 4, and 6 exhibit several oxidation processes. The two reversible and mono-electronic processes observed at  $+0.35$  and  $+0.72$  V can be assigned clearly to the TTF unit by comparison with the model compound 10, and the irreversible process that follows at  $+1.17$  V can be assigned to the DNP moiety by comparison with the behavior of the reference compound 37 (Table 3). At more positive potentials, several overlapping processes, related to the oxidation of the spacer and stoppers, can be observed. In conclusion, the electrochemical properties of the dumbbell components are those expected from the redox behavior of their model compounds, without evidence of inter-component interactions. The electron-accepting cyclophane  $CPBQT^{4+}$  shows (Table 3) the wellknown[21a, 22b, 33, 39] reversible and bielectronic reduction processes at  $-0.29$  and  $-0.71$  V which can be assigned to the first and, respectively, second reduction of the two equivalent non-interacting bipyridinium units.

[2]Rotaxanes  $1^{4+}$ ,  $3^{4+}$ , and  $5^{4+}$ : Prior to an analysis of the results obtained for these three redox-active, bistable [2]rotaxanes, it is worth contemplating the anticipated effects upon the redox processes of the cyclophane and dumbbell components brought about by their being interlocked. The oxidation of a TTF unit or a DNP moiety is strongly conditioned by the presence of an encircling  $CBPQT^{4+}$  because of i) the presence of donor-acceptor interactions and ii) the strong electrostatic repulsion arising between the generated radical cation and the tetracationic cyclophane. The oxidation of the encircled TTF unit or DNP moiety should hardly be affected, however, by conformational folding because of the shielding effect provided by the surrounding tetracationic cyclophane toward external interactions. By contrast, it is known that the reduction of the  $36^{4+}$  cyclophane is weakly influenced by threading an uncharged electron donor because electrostatic effects are not involved. However, the reduction processes should be affected by folding since the highly charged cyclophane is strongly exposed to external interactions.<sup>[21,33]</sup> It follows that the oxidation processes should give information on the nature of the translational isomerism and, when appropriate, on the occurrence of shuttling movements, whereas the reduction processes should reveal the occurrence of conformational folding.

1) Oxidation processes: For the rotaxanes  $1^{4+}$ ,  $3^{4+}$ , and  $5^{4+}$ , the first oxidation process (Table 3 and Figure 6) is bielectronic and occurs at  $+0.74$  V. This result shows that the two reversible and monoelectronic oxidation processes associat-



Figure 6. Anodic DPV patterns of the model compound 10, the dumbbell compound 6 and the  $\lceil 2 \rceil$ rotaxane  $5^{4+}$ . The current intensities have been corrected to take into account differences in concentrations and diffusion coefficients (Argon purged MeCN solution, room temperature, scan rate  $4 \text{ mV s}^{-1}$ , pulse height 10 mV).

ed with the TTF unit, which are well separated in the model compound 10, as well as in the dumbbells 2, 4, and 6, take place at the same potential. The lack of an oxidation process taking place around  $+0.35$  V, at least within the limits of the experimental error, and the large shift (ca.  $400 \text{ mV}$ ) toward more positive potential values of the first oxidation process, shows that, in each rotaxane, there is only one translational isomer that is populated–namely, that in which the CBPQ $T^{4+}$  cyclophane encircles the TTF unit. The electron-accepting tetracationic cyclophane increases the first oxidation potential of the encircled TTF unit for two reasons—i) it engages the TTF unit in donor-acceptor interactions and ii) it makes more difficult the formation of a monocationic TTF<sup>+</sup> species inside the cyclophane. The second oxidation process of the TTF unit in the rotaxanes takes place (Table 3 and Figure 6) at the same potential value as that of the corresponding dumbbell. This result and the observation that the cyclic voltammetric pattern corresponding to the TTF unit is affected by kinetic complications demonstrate that, after the first oxidation process involving the TTF unit, the  $CBPQT^{4+}$  cyclophane moves away from this unit. It should also be noted (Table 3 and Figure 6) that, in all three rotaxanes, the oxidation of the DNP moiety is shifted toward more positive potential values, compared to those observed in the dumbbells. Although, in all cases, the exact potential associated with the DNP oxidation process is difficult to establish, because of the presence of processes involving other units of the dumbbell above  $+1.30$  V, the observed shift shows that, after TTF oxidation, the CBPQT<sup>4+</sup> cyclophane moves onto the DNP moiety, thereby destabilizing the formation of its radical cation.<sup>[40]</sup> In conclusion, the results obtained from electrochemical oxidation show that, in all three rotaxanes, the CBPQT<sup>4</sup><sup>+</sup> cyclophane is originally located around the TTF unit and shuttles between the TTF and DNP "stations" upon ox-red stimulation. These results are fully confirmed by the chemical oxidation/reduction experiments described in the final subsection of this paper. It is interesting to note that the nature and relative positions of the stoppers do not seem to play any role regarding the location of the tetracationic cyclophane and the occurrence of the shuttling process, whereas, in similar rotaxanes<sup>[19]</sup> the nature of the stoppers influences the relative populations of the two translational isomers.

2) Reduction processes: The CBPQT $4+$  cyclophane in MeCN solutions shows<sup>[21a, 22b, 33, 39]</sup> two reversible, bielectronic processes at  $-0.29$  and  $-0.71$  V, assigned to the first and, respectively, second reductions of the two equivalent and non-interacting bipyridinium units. The electrochemical properties of CBPQT<sup>4+</sup> (36<sup>4+</sup>) are known to change when this cyclophane is threaded by a  $\pi$ -electron donor. The first bielectronic reduction process is expected to and, in fact, does i) move slightly toward more negative potential values in pseudorotaxanes<sup>[41]</sup> and rotaxanes,<sup>[35b]</sup> and ii) split into two distinct processes in catenanes<sup>[21a, 22b, 33]</sup> in which the two bipyridinium units are topologically non-equivalent. Splitting, however, has also been observed in the case of some pseudorotaxanes<sup>[22b]</sup> and rotaxanes.<sup>[19,42]</sup>

For the rotaxanes  $1^{4+}$ ,  $3^{4+}$ , and  $5^{4+}$  the first bielectronic reduction process of the tetracationic cyclophane splits (Table 3 and Figure 7) into two distinct processes, indicating that the equivalence of the two bipyridinium units is lost. This result can be accounted for by assuming that the cyclophane, while encircling the TTF unit, is also involved in other non-symmetric alongside interactions. Such behavior requires the presence of folded conformations, an explanation previously advanced for other long and flexible pseudorotaxanes<sup>[22b]</sup> and rotaxanes.<sup>[19]</sup> Interestingly, whereas the presence of folded conformations is required to explain the splitting of the first bielectronic reduction for each one of the three rotaxanes, a detailed examination of the electrochemical data shows that each compound displays some peculiar features. Since the three rotaxanes differ only in the nature and relative positions of the stoppers, it seems likely that these appendages are directly involved in determining the observed differences.

In the case of the rotaxane  $1^{4+}$ , which contains two identical tetraarylmethane stoppers, deconvolution of the DPV peaks shows (Figure 7) that the first reduction process occurs at  $-0.30$  V, that is, at a potential slightly more negative than that  $(-0.29 \text{ V})$  of the free CBPQT<sup>4+</sup>, but slightly less negative than that expected<sup>[22b,41]</sup> for a bipyridinium unit engaged in CT interactions with a threaded TTF unit. The second process occurs at a considerably more negative potential  $(-0.37 \text{ V})$ , suggesting that there are bipyridinium units that are also involved in alongside interactions in folded conformations. Deconvolution of the DPV peaks shows also that the first and second processes account for 1.3 and 0.7 exchanged electrons, respectively, indicating that 35% of the bipyridinium units are involved in the folded in-



Figure 7. Cathodic DPV patterns of the cyclophane  $36^{4+}$  and of the [2]rotaxanes  $1^{4+}$ ,  $3^{4+}$  and  $5^{4+}$ . The current intensity has been corrected to take into account differences in concentrations and diffusion coefficients (Argon purged MeCN solution, room temperature, scan rate  $4 \text{ mVs}^{-1}$ , pulse height 10 mV).

teractions. Interestingly, the successive reduction of the two bipyridinium units of the cyclophane takes place at the same potential which is shifted toward more negative values compared with (Table 3) the free tetracationic cyclophane.<sup>[43]</sup> This result shows that, after the first reduction process, the two bipyridinium units still interact with  $\pi$ -electron-donating units, but then become equivalent, suggesting that unfolding takes place after one-electron reduction of the two bipyridinium units.

That electrochemical stimulation can cause not only shuttling, but also conformational folding and unfolding processes in long and flexible rotaxanes is a novel, yet clearly not unexpected result, since such folding and unfolding processes in proteins are known[44] to be redox controlled.

The behavior (Table 3 and Figure 7) of the rotaxane  $3^{4+}$  is very similar, but not identical to that of  $1^{4+}$ . Replacement of the tetraarylmethane stopper, which is distant from the tetracationic cyclophane encircling the TTF unit with an aryloxy dendritic stopper apparently has a very weak perturbing effect.

In the case of the rotaxane  $5^{4+}$ , the first process takes place (Table 3 and Figure 7) at a potential similar to that of  $1^{4+}$  and  $3^{4+}$ , while the second process is displaced toward more negative potentials. Furthermore, the first and second processes observed in the case of  $5^{4+}$  involve an electron each, suggesting that, in this rotaxane, 50% of the bipyridinium units are engaged in folding interactions. The different behavior of the constitutionally isomeric rotaxanes  $3^{4+}$  and  $5^{4+}$  confirms the presence of folded conformations and demonstrates that the dendritic stopper plays a more important role when it is closer to the tetracationic cyclophane. The successive reduction of the two bipyridinium units occurs at the same potential also in rotaxane  $5^{4+}$  indicating that, once again, after the first reduction, unfolding occurs.

Chemical oxidation/reduction and shuttle control: It is known[22] that TTF can be oxidized stoichiometrically to the mono and dicationic forms,  $TTF^{+}$  and  $TTF^{2+}$ , by Fe<sup>III</sup> perchlorate. Such an oxidation process can be followed by changes in the absorption spectra<sup>[45]</sup> (TTF<sup>+</sup>·:  $\lambda$ =434 nm,  $\varepsilon$ =  $15000 \text{ m}^{-1} \text{ cm}^{-1}$  and  $\lambda = 577 \text{ nm}, \ \varepsilon = 4000 \text{ m}^{-1} \text{ cm}^{-1}$ ; TTF<sup>2+</sup>:  $\lambda = 348$  nm,  $\varepsilon = 9000 \,\mathrm{m}^{-1} \,\mathrm{cm}^{-1}$ ). We have verified that the TTF units contained within compound 10 and the dumbbell components 2, 4, and 6, as well as the rotaxanes  $1^{4+}$ ,  $3^{4+}$ , and  $5^{4+}$ , can be oxidized stoichiometrically by Fe<sup>III</sup> perchlorate. In the rotaxanes, the absorption band with  $\lambda_{\text{max}}=$ 840 nm, assigned to the CT interactions between the TTF unit and the tetracationic cyclophane, disappears (Figure 8) upon addition of a stoichiometric amount of  $Fe^{III}$  perchlo-



Figure 8. Absorption spectra of the [2] rotaxane  $3^{4+}$  and of its mono- and di-oxidized forms  $3^{5+}$  and  $3^{6+}$  obtained by Fe(ClO<sub>4</sub>)<sub>3</sub> oxidation of the TTF station in MeCN. Addition of silver powder to the oxidized solutions restores the original spectrum.

rate. An isobestic point is maintained at  $\lambda$  = 645 nm throughout the titration. Addition of a second equivalent of Fe<sup>II</sup> leads to the two-electron oxidized species with an isobestic point at 415 nm. These processes are reversible. Addition of silver powder regenerates the starting species. When the spectra of mono-oxidized dumbbells are subtracted from the spectra of the corresponding mono-oxidized rotaxanes, weak absorption bands with maxima at about 520 nm are obtained, confirming that the tetracationic cyclophane shuttles between the TTF and DNP "stations" upon ox/red stimulation.

Previously, some of us had described $[23]$  how the chemically controlled shuttling of the rotaxane  $1^{4+}$  could be monitored by <sup>1</sup>H NMR spectroscopy. In order to confirm the fact that the addition of the hydrophilic stopper has no major effect on the redox-based chemical switching, an identical procedure was performed on the rotaxane  $5^{4+}$ . After recording the  ${}^{1}$ H NMR spectrum (Figure 9a) in CD<sub>3</sub>CN at 243 K, 2.2 equivalents of the oxidant,  $tris(p-bromopheny)$ amminium hexafluoroantimonate were added.<sup>[46]</sup> The low temperature was employed to ensure the stability of the oxidized rotaxane. When the  ${}^{1}H NMR$  spectrum was recorded again



Figure 9. a) <sup>1</sup>H NMR Spectrum recorded at 500 MHz for the [2]rotaxane  $5^{4+}$  in CD<sub>3</sub>CN at 243 K. The solid box highlights the peaks for the H-c/t (cis/trans) in the neutral TTF unit encircled by the  $CBPQT<sup>4+</sup>$  cyclophane. The dashed box highlights the region that contains the resonances for the ring protons of the DNP system that is "free" in  $5^{4+}$ . b) <sup>1</sup>H NMR Spectrum recorded at 500 MHz of the bis-oxidized  $5^{6+}$  in CD<sub>3</sub>CN at 243 K. The solid box highlights the peaks for the "free" dicationic  $TTF<sup>2+</sup>$  residues and the dashed boxes indicate the peaks for H-2/6 and H-3/7 (at lower field) and for H-4/8 (at higher field) in the DNP ring system encircled by the  $CBPQT^{4+}$  cyclophane.

(Figure 9b) after this oxidation, the signals for the heterotopic protons on the TTF unit were observed to move from  $\delta$  6.15, 6.19, 6.30, and 6.35—reflecting the fact that the TTF unit in  $5^{4+}$  is in its slowly interconverting *cis* and *trans* isomeric states and encircled by the CBPQT<sup>4+</sup> ring—to  $\delta$  9.12 and 9.22, characteristic of the free  $TTF^{2+}$  dication in the oxidized version of the rotaxane. Further evidence for the translation of the CBPOT<sup>4+</sup> ring from the oxidized TTF unit to the DNP moiety can be found in the dramatic changes of the chemical shifts of the DNP protons. In the starting rotaxane  $5^{4+}$ , the resonances appear at  $\delta$  6.86 and 6.88 (H-4/8),  $\delta$  7.28 and 7.32 (H-3/7) and  $\delta$  7.72 and 7.74 (H-2/6) as expected. Upon oxidation of the rotaxane, the signals for the DNP moiety experience large upfield shifts to  $\delta$  2.28 and 2.29 (H-4/8),  $\delta$  5.92 and 5.94 (H-3/7), and  $\delta$  6.21 and 6.23 (H-2/6) on account of the shielding effect of the encircling CBPQT<sup>4+</sup> ring. Reduction with zinc dust restores the original spectrum. The chemically controlled shuttling, within the rotaxane  $5^{4+}$ , of the CBPQT<sup>4+</sup> ring between the dumbbell's TTF and DNP "stations", on oxidation of the TTF unit and its subsequent reduction, is summarized in Figure 10.



Figure 10. Idealized graphical representation of redox switching in the amphiphilic, bistable [2] rotaxane  $5^{4+}$ .

## Conclusion

A systematic photophysical, electrochemical and spectroscopic investigation of the three [2]rotaxanes introduced in Figure 1 has indicated that, although the nature and relative positions of the hydrophobic and hydrophilic stoppers do not appear to have any noticeable effect on the shuttling properties of the rotaxanes, they do play a perceptible role in the formation of folded conformations wherein presumably stopper components interact in a stabilizing manner with the exterior surface of the ring component. Whereas ox/red stimulation of the tetrathiafulvalene "station" causes shuttling of the tetracationic cyclophane between the dumbbell's two ™stations∫, ox/red stimulation of the cyclophane initiates the unfolding/folding processes. These results are important in the context of designing, synthesizing and fabricating nanoscale systems and devices. Since the mechanically active molecules come into contact with substrates and electrodes in nanoscale systems and solid-state devices, it is unlikely that all their fine solution-state, structural features will be carried over into the condensed matter phase<sup>[47]</sup> (Figure 11). Nonetheless, forewarned of complexity means prepared for the unexpected.

## Experimental Section

General methods: Chemicals were purchased from Aldrich and used as received. The compounds  $4,4'(5')$ -bis(hydroxymethyl)- $\Delta^{2,2'}$ -bi-1,3-dithiole<sup>[28]</sup> (7), 2-[2-(2-iodoethoxy)-ethoxy]tetrahydropyran<sup>[29]</sup> (8), 4-[bis(4tert-butylphenyl)-(4-ethylphenyl)methyl]phenol<sup>[27]</sup> (12), compound<sup>[16]</sup> 15,  $\alpha, \alpha'$ -[1,4-phenylenebis(methylene)]bis(4,4'-bipyridium) bis(hexa-fluorophosphate)<sup>[30]</sup> (22•2 PF<sub>6</sub>), 2-(2-{5-[2-(2-hydroxy-ethoxy)ethoxy]naphthalen-1-yloxy}ethoxy)ethanol<sup>[25]</sup> (24), the dendritic hydrophilic stopper<sup>[11c, 26]</sup> 31 and  $[1,1'$ :4',1'']terphenyl-4,4''-diol<sup>[31]</sup> (33) were all prepared according to literature procedures. Solvents were dried following methods described in the literature.[48] All reactions were carried out under an anhydrous argon atmosphere. Thin-layer chromatography (TLC) was performed on aluminum sheets coated with silica gel 60F (Merck 5554). The plates were inspected by UV light and, if required, developed in  $I_2$  vapor. Column chromatography was carried out by using silica gel 60 (Merck 9385, 230-400 mesh). Melting points were determined on an Electrothermal 9100 melting point apparatus and are uncorrected. All <sup>1</sup>H and  $^{13}$ C NMR spectra were recorded on either i) a Bruker ARX400 (400 MHz and 100 MHz, respectively), ii) a Bruker ARX500 (500 MHz and 125 MHz, respectively) or iii) a Bruker Avance500 (500 MHz and 125 MHz, respectively), using residual solvent as the internal standard. Samples were prepared using  $CDCl<sub>3</sub>, CD<sub>3</sub>COCD<sub>3</sub>$  or  $CD<sub>3</sub>CN$  purchased from Cambridge Isotope Labs. All chemical shifts are quoted using the  $\delta$ scale, and all coupling constants  $(J)$  are expressed in Hertz (Hz). Fast atom bombardment (FAB) mass spectra were obtained using a ZAB-SE mass spectrometer, equipped with a krypton primary atom beam utilizing a m-nitrobenzyl alcohol matrix. Cesium iodide or poly(ethylene glycol) were employed as reference compounds. Electrospray mass spectra (ESMS) were measured on a VG ProSpec triple focusing mass spectrometer with MeCN as the mobile phase. Microanalyses were performed by Quantitative Technologies, Inc.

**Compound 10:** A solution of the iodide  $8$  (9.09 g, 30.3 mmol) in THF (200 mL) was added dropwise under an Ar atmosphere to a solution (900 mL) of the diol 7 (2.00 g, 7.89 mmol) and NaH (1.39 g, 60.6 mmol) in THF (900 mL) and the reaction mixture was heated under reflux for 1 h. The mixture was then stirred under reflux for 48 h before being cooled down to room temperature. After the addition of MeOH (20 mL), the mixture was filtered though silica gel and the solution was evaporated in vacuo to obtain the crude compound 9 as a brown oil which was dissolved in MeOH/CH<sub>2</sub>Cl<sub>2</sub> (1:1, 200 mL). A conc. HCl aqueous solution (0.5 mL) was added and the reaction mixture was stirred at room temperature for 2h. 1n NaOH aqueous solution (200 mL) was added to the reaction mixture and it was extracted by  $CH_2Cl_2$  (3×100 mL) and dried  $(MgSO<sub>4</sub>)$ . After removal of the solvent, the residue was purified by column chromatography (silica gel:  $CH_2Cl_2/MeOH$  100:3) to give the diol 10 (2.08 g, 60%) as an orange oil. <sup>1</sup>H NMR (CD<sub>3</sub>CN, 400 MHz):  $\delta$  = 2.48 (br, 2H), 3.30±3.60 (m, 16H), 4.26 (s, 4H), 6.38 (s, 2H); 13C NMR (CD<sub>3</sub>CN, 100 MHz):  $\delta = 62.0, 68.6, 70.2, 70.9, 73.4, 117.8, 117.9, 118.3,$ 

135.5, 135.6; MS (FAB): m/z (%): 440  $(100)$   $[M]$ <sup>+</sup>.

Monotosylate 11: A solution of TsCl  $(634 \text{ mg} \cdot 3.3 \text{ mmol})$  in CH<sub>2</sub>Cl<sub>2</sub>  $(10 \text{ mL})$ was added dropwise to a solution of the diol 10  $(1.63 \text{ g}, 3.7 \text{ mmol})$ , Et<sub>3</sub>N (2.57 mL, 18.5 mmol) and DMAP (15 mg) in CH<sub>2</sub>Cl<sub>2</sub> (90 mL) at 0°C. The mixture was then stirred for 16 h at room temperature. After addition of  $Al_2O_3$  (10 g, neutral, Brockmann I), the mixture was evaporated and subjected to column chromatography (silica gel:  $CH<sub>2</sub>Cl<sub>2</sub>/EtOH$  100:3). The second yellow band was collected to give the monotosylate 11 (989 mg, 45 %) as a yellow oil.  ${}^{1}H NMR (CD<sub>3</sub>CN,$ 500 MHz):  $\delta$  = 2.42 (s, 2H), 2.74 (t, 1 H,  $J=3.7$  Hz),  $3.45-3.47$  (m, 6H), 3.53-3.60 (m, 8H), 4.09 (t, 2H,  $J=$ 4.3 Hz), 4.21 (s, 2H), 4.25 (s, 2H), 6.34  $(s, 1H), 6.37$   $(s, 1H), 7.41$   $(d, 2H, J=$ 



Figure 11. Idealized graphical representations drawing attention to the possible differences between amphiphilic, bistable [2]rotaxane molecules a) equilibrating between folded and unfolded conformations in the solution state, and b) self-organized in a condensed matter phase.

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8 Hz), 7.76 (d, 2H,  $J=8$  Hz); <sup>13</sup>C NMR (CD<sub>3</sub>CN, 125 MHz):  $\delta = 20.6$ , 60.7, 68.1, 68.9, 69.1, 69.8, 69.8, 69.9, 72.2, 116.7, 116.8, 127.7, 129.9, 132.7, 134.4, 134.5, 134.6, 145.3; MS (FAB):  $m/z$  (%): 748 (6) [M]<sup>+</sup>, 594 (51), 307 (100).

Compound 13: A solution of the monotosylate 11 (990 mg, 1.67 mmol), the tetraarylmethane stopper 12 (2.38 g, 5.00 mmol),  $K_2CO_3$  (1.38 g, 10 mmol), LiBr (10 mg), and [18]crown-6 (10 mg) in dry MeCN (50 mL) was heated under reflux for 16 h. After cooling down to room temperature, the reaction mixture was filtered and the residue was washed with MeCN (50 mL). The combined organic phase was concentrated in vacuo and the crude product was purified by column chromatography (silica gel: CH<sub>2</sub>Cl<sub>2</sub>/EtOH 100:3) to give compound **13** (1.59 g, 95%) as a yellow solid. M.p. 40–42°C; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz):  $\delta$  = 1.16 (t, J = 7.5 Hz, 3H), 1.25 (s, 18H), 2.57 (q, J=7.5 Hz, 2H), 2.70 (br s, 1H), 3.40±3.47 (m, 2H), 3.52-3.61 (m, 10H), 3.71-3.74 (m, 2H), 4.03-4.05 (m, 2H), 4.20-4.25 (m, 4H), 6.30, 6.33 (2×s, 2H), 6.75-6.78 (m, 2H), 7.06-7.13 (m, 10 H), 7.23–7.27 (m, 4H); <sup>13</sup>C NMR (CD<sub>3</sub>CN, 125 MHz):  $\delta$  = 14.8, 25.1, 27.6, 30.4, 33.8, 60.9, 63.0, 67.3, 67.4, 69.1, 69.2, 69.8, 70.0, 70.1, 70.2, 72.2, 113.3, 116.6, 116.7, 116.7, 124.3, 126.9, 130.2, 130.5, 131.6, 134.5, 134.6, 139.5, 141.5, 144.4, 144.7, 148.3, 156.6; MS (FAB): m/z (%): 898 (42),  $[M]^+$ , 383 (100); elemental analysis calcd (%) for C<sub>51</sub>H<sub>62</sub>O<sub>6</sub>S<sub>4</sub> (898): C, 68.11; H, 6.95; found: C 67.99, H 5.65.

Compound 14: A solution of compound 13 (853 mg, 0.95 mmol), TsCl (362 mg, 1.9 mmol), DMAP (10 mg) and Et<sub>3</sub>N (1.1 mL, 7.6 mmol) in anhydrous  $CH_2Cl_2$  (50 mL) was stirred for 16 h at room temperature. After addition of  $A_3O_3$  (5 g, neutral, Brockmann I), the mixture was concentrated and the residue was subjected to column chromatography (silica gel:  $CH_2Cl_2/EtOH$  100:3). The first yellow band was collected and afforded compound 14 (880 mg, 88%) as a yellow solid. M.p.  $45-47$ °C; <sup>1</sup>H NMR (CD<sub>3</sub>CN, 500 MHz):  $\delta$  = 1.17 (t, J = 7.6 Hz, 3H), 1.27 (s, 18H), 2.40 (s, 3H), 2.57 (q, J=7.6 Hz, 2H), 3.45-3.48 (m, 4H), 3.55-3.62 (m, 6 H), 3.73 (t,  $J=4.7$  Hz, 2H), 4.03-4.05 (m, 2H), 4.09-4.12 (m, 2H), 4.17-4.19 (m, 2H), 4.22 (m, 2H), 6.26, 6.29 (2×s, 2H), 6.75-6.78 (m, 2H), 7.05-7.13 (m, 10H), 7.26 (m, 4H), 7.38 (d,  $J=8.3$  Hz, 2H), 7.76 (d,  $J=$ 8.3 Hz, 2H); 13C NMR (CDCl3, 125 MHz): d=14.6, 20.6, 27.6, 30.5, 33.8, 63.1, 67.4, 67.5, 68.2, 69.1, 69.3, 69.3, 69.3, 69.7, 70.0, 70.2, 110.0, 110.0, 110.1, 113.4, 113.4, 116.3, 116.4, 116.5, 124.2, 126.7, 127.6, 129.9, 130.2, 130.5, 131.7, 133.1, 134.5, 134.6, 134.6, 134.7, 139.6, 141.6, 144.4, 144.7, 145.1, 148.4, 156.7; MS (FAB):  $m/z$  (%): 1052 (50) [M]<sup>+</sup>, 383 (100); HRMS (MALDI-TOF): calcd for  $C_{58}H_{68}O_8S_5Na$ : 1075.3415; found: 1075.3414.

**Compound 17:** A mixture of compound 15 (1.72 g, 2.0 mmol),  $K_2CO_3$  $(0.42 \text{ g}, \quad 3.0 \text{ mmol})$  and 4-bromo- $(1,1')$ -biphenyl)-4-ol  $(16)$   $(0.74 \text{ g}, \quad$ 3.0 mmol) was suspended in MeCN (20 mL) and heated under reflux for 16 h. After the reaction mixture had been allowed to cool down to room temperature, it was filtered and the residue was washed with MeCN (50 mL). The combined organic phases were concentrated in vacuo and the crude product was purified by column chromatography (silica gel:  $CH_2Cl_2/MeOH$  99:1) to afford compound 17 (1.58 g, 77%) as a white solid. M.p. 133–135 °C; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz):  $\delta$  = 1.23 (t, *J* = 7.6 Hz, 3H), 1.30 (s, 18H), 2.62 (q, J=7.6 Hz, 2H), 3.99 (t, J=4.8 Hz, 2 H), 4.03 (t,  $J=4.8$  Hz, 2H), 4.05-4.10 (m, 4H), 4.15 (t,  $J=4.8$  Hz, 2H), 4.21 (t, J=4.8 Hz, 2H), 4.30±4.34 (m, 4H), 6.80 (d, J=8.0 Hz, 2H), 6.83  $(d, J=8.7 \text{ Hz}, 2\text{ H}), 6.99 \ (d, J=8.8 \text{ Hz}, 2\text{ H}), 7.04-7.11 \ (m, 10\text{ H}), 7.22 \ (d,$  $J=8.6$  Hz, 4H), 7.31 (t,  $J=8.7$  Hz, 2H), 7.39 (d,  $J=8.6$  Hz, 2H), 7.45 (d,  $J=8.6$  Hz, 2H), 7.52 (d,  $J=8.6$  Hz, 2H), 7.87 (d,  $J=8.7$  Hz, 2H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz):  $\delta$  = 15.3, 28.2, 31.4, 34.3, 53.4, 63.1, 67.4, 67.7, 68.0, 68.0, 70.0, 70.0, 70.1, 105.7, 113.2, 114.6, 114.7, 115.1, 120.8, 124.1, 125.1, 126.6, 126.8, 126.8, 127.9, 128.3, 130.7, 131.0, 131.8, 132.2; 139.7, 141.4, 144.2, 144.6, 148.3, 154.3, 154.3, 156.5, 158.6; MS(FAB): m/z  $(\%): 1026 (37) [M+2]$ <sup>+</sup>, 1025 (100)  $[M+H]$ <sup>+</sup>, 1024 (39)  $[M]$ <sup>+</sup>.

Preparation of 1.0<sub>M</sub> Grignard reagent 19: A solution of the 2-(4-bromophenoxy)-tetrahydropyran (18) (2.57 g, 10 mmol) and Mg powder (36.5 mg, 15 mmol) in THF (10 mL) was stirred at room temperature for 2h. A clear 1.0m Grignard reagent 19 in THF was obtained after precipitation of the insoluble inorganic residue.

Compound 21: A solution of the 1.0m Grignard reagent 19 (3 mL, 3 mmol) was added to a solution of 16 (800 mg, 0.8 mmol) and  $[Pd(PPh<sub>3</sub>)<sub>4</sub>]$  (80 mg, catalytic amount) in anhydrous THF (10 mL), and the reaction mixture was heated under reflux for 16 h. After cooling

down to room temperature, the solvent was evaporated, yielding compound 20 as an yellow oil, which was then dissolved in  $CH_2Cl_2$  (25 mL). A concentrated aqueous HCl solution (0.5 mL) was added to the mixture and it was stirred at room temperature for another 16 h.  $H<sub>2</sub>O$  (20 mL) was added and the mixture was extracted with  $CH_2Cl_2$  (3 × 30 mL) and dried ( $MgSO<sub>4</sub>$ ). After removal of the solvent, the residue was subjected to column chromatography (silica gel: EtOAc/hexanes 1:2) to yield 21  $(1.58 \text{ g}, 71\%)$  as a white solid. M.p. 121–123 °C; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz):  $\delta$  = 1.31 (t, J = 7.6 Hz, 3H), 1.36 (s, 18H), 2.68 (q, J = 7.6 Hz, 2 H),  $4.05$  (t,  $J=4.8$  Hz,  $2H$ ),  $4.08-4.13$  (m,  $4H$ ),  $4.15$  (t,  $J=4.8$  Hz,  $2H$ ), 4.19±4.22 (m, 2H), 4.27 (t, J=4.6 Hz, 2H), 4.36 (t, J=4.8 Hz, 2H), 4.39  $(t, J=4.8 \text{ Hz}, 2\text{ H}), 6.86 \text{ (d, } J=8.9 \text{ Hz}, 2\text{ H}), 6.89 \text{ (d, } J=8.0 \text{ Hz}, 1\text{ H}), 6.90 \text{ }$ (d,  $J=8.0$  Hz, 1H), 6.94 (d,  $J=8.6$  Hz, 2H), 7.05 (d,  $J=8.6$  Hz, 2H), 7.10 $-7.18$  (m, 10H), 7.29 (d,  $J=8.6$  Hz, 4H), 7.38 (t,  $J=8.0$  Hz, 1H), 7.39  $(t, J=8.0 \text{ Hz}, 1\text{ H})$ , 7.52 (d,  $J=8.6 \text{ Hz}, 2\text{ H}$ ), 7.59 (d,  $J=8.6 \text{ Hz}, 2\text{ H}$ ), 7.59 $-$ 7.64 (m, 4H), 7.94 (d,  $J=8.0$  Hz, 1H), 7.95 (d,  $J=8.0$  Hz, 1H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz):  $\delta = 15.3$ , 28.2, 30.9, 31.3, 34.2, 63.1, 67.3, 67.6, 67.9, 67.9, 69.9, 70.0, 70.0, 105.7, 113.1, 114.6, 114.7, 115.0, 115.7, 124.0, 125.1, 126.6, 126.7, 126.9, 126.9, 127.9, 128.1, 130.6, 131.0, 132.2, 133.1, 133.4, 138.9, 139.0, 139.8, 141.3, 144.1, 144.6, 148.2, 154.2, 154.3, 155.3, 156.5, 158.2; MS(FAB): m/z (%): 1038 (20) [M]<sup>+</sup>, 1025 (15); elemental analysis calcd (%) for  $C_{71}H_{74}O_7$  (1038): C 82.05, H 7.18; found: C 82.09, H 7.16.

Compound 2: A solution of compound 21 (727 mg, 0.70 mmol), 14  $(733 \text{ mg}, 0.70 \text{ mmol})$ ,  $K_2CO_3$   $(484 \text{ mg}, 3.5 \text{ mol})$ , LiBr  $(5 \text{ mg})$  and [18]crown-6 (5 mg) in anhydrous MeCN (20 mL) was heated under reflux for 16 h. After cooling down to room temperature, the reaction mixture was filtered and the residue was washed with MeCN (30 mL). The combined organic phase was concentrated in vacuo and the crude product was purified by column chromatography (silica gel: EtOAc/hexanes 1:2) to give the dumbbell-shaped compound 2 (664 mg, 47%) as a light yellow solid. M.p. 106–108°C; <sup>1</sup>H NMR (CD<sub>3</sub>COCD<sub>3</sub>, 500 MHz):  $\delta$ =1.22  $(t, J=7.6 \text{ Hz}, 6\text{ H}), 1.31 \text{ (s, 36 H)}, 2.63 \text{ (q, } J=7.6 \text{ Hz}, 4\text{ H}), 3.62-3.64 \text{ (m, }$ 2H), 3.64-3.68 (m, 4H), 3.70-3.73 (m, 2H), 3.79-3.82 (m, 2H), 3.85-3.87  $(m, 2H)$ , 3.96 (t,  $J=4.8$  Hz, 2H), 4.01 (t,  $J=4.8$  Hz, 2H), 4.04 (t,  $J=$ 4.7 Hz, 2H), 4.07 (t,  $J=4.7$  Hz, 2H), 4.10-4.13 (m, 2H), 4.18 (t,  $J=$ 4.8 Hz, 2H), 4.20 $-4.23$  (m, 2H), 4.25 (t,  $J=4.7$  Hz, 2H), 4.30 $-4.38$  (m, 8) H), 6.44, 6.46, 6.47 ( $3 \times s$ , 2H), 6.81-6.86 (m, 4H), 6.94-6.99 (m, 2H), 7.02-7.07 (m, 4H), 7.09-7.20 (m, 18H), 7.30-7.35 (m, 10H), 7.56-7.68 (m, 8H), 7.87 (d, J=8.3 Hz, 2H), 7.89 (d, J=8.3 Hz, 2H); MS (FAB): m/z (%): 1920 (100) [M]<sup>+</sup>; elemental analysis calcd (%) for  $C_{122}H_{134}O_{12}S_4$ (1920): C 76.29, H 7.03; found: C 76.13, H 7.06.

[2]Rotaxane 1.4 PF<sub>6</sub>: A solution of compound 2 (588 mg, 0.31 mmol), the dicationic salt<sup>[30]</sup> **22**-2 PF<sub>6</sub> (648 mg, 0.92 mmol) and  $\alpha, \alpha'$ -dibromo-p-xylene  $(23)$   $(242 \text{ mg}, 0.92 \text{ mmol})$  in anhydrous DMF  $(30 \text{ mL})$  was stirred for 10 d at room temperature. The reaction mixture was subjected directly to column chromatography (silica gel) and unreacted 2 was eluted with Me<sub>2</sub>CO, whereupon the eluent was changed to Me<sub>2</sub>CO/NH<sub>4</sub>PF<sub>6</sub> (1.0 g  $NH_4PF_6$  in 100 mL Me<sub>2</sub>CO) and the green band containing 1.4 PF<sub>6</sub> was collected. Most of the solvent was removed under vacuum. After adding H2O (50 mL) to the residue, the precipitate was collected by filtration, washed with Et<sub>2</sub>O (30 mL), and dried in vacuo to afford  $1.4$  PF<sub>6</sub> (357 mg, 39%) as a green powder. M.p.  $228\text{°C}$  (decomposed without melting); <sup>1</sup>H NMR (CD<sub>3</sub>CN, 500 MHz):  $\delta$  = 1.20 (two triplets, 6H, J = 7.5 Hz), 1.26-1.30 (m, 36H), 2.61 (q,  $J=7.5$  Hz, 4H), 3.82-4.42 (m, 36H), 5.53-5.70 (m, 8H), 6.03, 6.11, 6.22, 6.28 (4×s, 2H), 6.55 (d,  $J=8.6$  Hz, 1H), 6.59 (d,  $J=8.6$  Hz, 1H), 6.65 (d,  $J=8.6$  Hz, 1H), 6.72 (d,  $J=8.6$  Hz, 1H), 6.79 (d,  $J=8.7$  Hz, 2H), 6.94 (d,  $J=8.7$  Hz, 2H), 7.02-7.19 (m, 24H), 7.18-7.45 (m, 10H), 7.50-7.70 (m, 16H), 7.72-7.92 (m, 8H), 8.84-9.01 (m, 8H); MS (ESI):  $m/z$  (%): 1366 (23)  $[M-2PF_6]^{2+}$ , 862 (40)  $[M-3PF_6]^{3+}$ , 610 (23)  $[M-4PF_6]^{4+}$ ; elemental analysis calcd (%) for  $C_{158}H_{166}F_{24}N_4O_{12}P_4S_4$  (3024): C 62.81, H 5.54, N 1.85; found: C 62.55, H 5.57, N 1.81; UV/Vis (MeCN):  $\lambda_{\text{max}} = 846 \text{ nm}, \ \varepsilon = 3700 \text{ L} \text{mol}^{-1} \text{cm}^{-1}$ .

**Compound 25:** PPh<sub>3</sub> (2.16 g, 8.24 mmol) was added in portions during 15 min to a stirred solution of compound  $24^{[25]}$  (2.52 g, 7.49 mmol) and CBr4 (2.74 g, 8.26 mmol) in dry THF (25 mL) at room temperature. The reaction mixture was stirred for 2 h and then  $Et<sub>2</sub>O$  (50 mL) was added. The mixture was filtered and concentrated in vacuo to give a brown oil which was then subjected to column chromatography (silica gel:  $CH<sub>2</sub>Cl<sub>2</sub>/$ MeOH 24:1) to afford compound 25 (1.04 g,  $35\%$ ) as a white solid. M.p. 79-81 °C; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz):  $\delta$  = 2.20 (s, 1H), 3.52 (t, J = 6.2 Hz, 2H), 3.69-3.80 (m, 4H), 3.92-4.03 (m, 6H), 4.27-4.31 (m, 4H),

6.84 (d,  $J=8.0$ , 2H), 7.37 (t,  $J=8.0$  Hz, 2H), 7.88 (d,  $J=8.0$  Hz, 2H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 50 MHz):  $\delta$  = 30.5, 61.9, 61.9, 68.0, 69.8, 69.8, 71.5, 72.7, 105.9, 105.9, 114.7, 114.8, 125.2, 125.3, 126.8, 126.8, 154.3, 154.3; MS (FAB):  $m/z$  (%): 401 (58)  $[M+H+2]^+,$  400 (100)  $[M+2]^+,$  399 (61)  $[M+H]^+$ , 398 (96)  $[M]^+$ ; elemental analysis calcd (%) for C<sub>18</sub>H<sub>23</sub>O<sub>5</sub>Br (399): C 54.15, H 5.81; found: C 53.91, H 5.71.

Compound 26: A solution of compound 25 (4.07 g, 10.2mmol) tert-butylchlorodimethyl silane (1.95 g, 13 mmol) and imidazole (0.88 g, 13 mmol) in anhydrous DMF (20 mL) was stirred at room temperature for 16 h. The reaction mixture was then poured into  $H_2O$  (20 mL) and extracted with Et<sub>2</sub>O ( $3 \times 200$  mL). The combined organic layers were washed with H<sub>2</sub>O and brine, and dried (MgSO<sub>4</sub>). The solvent was evaporated in vacuo to give a crude product as a yellow oil, which was purified by column chromatography (silica gel:  $CH_2Cl_2/h$ exanes 1:9) to yield compound 26  $(5.19 \text{ g}, 99\%)$  as a colorless oil. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz):  $\delta = 0.09$  (s, 6H), 0.92 (s, 9H), 3.51 (t,  $J=6.2$  Hz, 2H), 3.71 (t,  $J=5.2$  Hz, 2H), 3.82 (t,  $J=5.2$  Hz, 2H), 3.95 (t,  $J=6.2$  Hz, 2H), 3.97-4.02 (m, 4H), 4.26-4.30 (m, 4H), 6.84 (d,  $J=8.0, 1$ H), 6.84 (d,  $J=8.0, 1$ H), 7.35 (t,  $J=8.0$  Hz, 1H), 7.35 (t, J=8.0 Hz, 1H), 7.87 (d, J=8.0 Hz, 1H), 7.90 (d, J=8.0 Hz, 1H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz):  $\delta$  = -5.3, 18.3, 25.9, 30.3, 62.8, 67.8, 67.9, 69.6, 69.8, 71.4, 72.9, 105.6, 105.6, 114.4, 114.8, 124.9, 125.0, 126.6, 126.7, 154.0, 154.3; MS (FAB):  $m/z$  (%): 514 (70)  $[M+2]^+,$  513 (100)  $[M+H]^+,$ 512 (61)  $[M]^+$ ; HRMS (FAB): calcd for C<sub>24</sub>H<sub>37</sub>BrO<sub>5</sub>Si: 512.1594; found: 512.1597.

**Compound 27:** A solution of compound 26 (1.60 g, 3.11 mmol),  $K_2CO_3$ (0.85 g, 6.22 mmol), 4-bromo-(1,1'-biphenyl)-4-ol (16) (1.16 g, 4.66 mmol), and [18]crown-6 (20 mg, cat. amount) in dry MeCN (20 mL) was heated under reflux for 16 h. After cooling down to room temperature, the reaction mixture was filtered and the solid was washed with  $CH_2Cl_2$ (100 mL). The combined organic solution was concentrated and the residue was purified by column chromatography (silica gel:  $CH_2Cl_2$ ) to afford compound  $27$  (1.31 g,  $62\%$ ) as an off-white powder. M.p. 89–91 °C; <sup>1</sup>H NMR (CD<sub>3</sub>Cl<sub>3</sub>, 500 MHz):  $\delta = 0.07$ (s, 6H), 0.90 (s, 9H), 3.71 (t,  $J=5.3$  Hz, 2H), 3.82 (t,  $J=5.3$  Hz, 2H), 4.00 (t,  $J=5.0$  Hz, 2H), 4.03 (t,  $J=4.8$  Hz, 2H), 4.09 (t,  $J=4.8$  Hz, 2H), 4.22 (t,  $J=4.8$  Hz, 2H), 4.27 (t,  $J=5.0$  Hz, 2H), 4.34 (t,  $J=4.8$  Hz, 2H), 6.83 (d,  $J=6.8$  Hz, 1H), 6.85 (d,  $J=6.8$  Hz, 1H), 6.98 (d,  $J=8.8$  Hz, 2H), 7.32 (dd,  $J=6.8$ , 9.1 Hz, 1H), 7.34 (dd,  $J=6.8$ , 9.1 Hz, 1H), 7.40 (d,  $J=8.5$  Hz, 2H), 7.46 (d,  $J=8.8$  Hz, 2H), 7.52 (d, J=8.5 Hz, 2H), 7.86 (d, J=9.1 Hz, 1H), 6.83 (d, J=9.1 Hz, 1H); <sup>13</sup>C NMR (CDCl<sub>2</sub>, 125 MHz);  $\delta = -5.2$ , 18.4, 25.9, 62.9, 67.7, 68.0, 68.0, 69.9, 70.0, 73.0, 105.6, 105.7, 114.5, 114.8, 115.1, 120.8, 125.0, 125.1, 126.8, 126.8, 127.9, 128.3, 131.8, 132.7, 139.7, 154.3, 154.4, 158.6; MS (FAB):  $m/z$  (%): 683 (80)  $[M+H]^+$ , 682 (100)  $[M]^+$ , 681 (84)  $[M-H]^+$ ; HRMS (MALDI-TOF): calcd for  $C_{36}H_{45}BrO_6SiNa$ : 703.2067; found: 703.2061.

Compound 29: A solution of the Grignard reagent 19 (5 mL, 5 mmol) was added to a solution of compound 27 (1.93 g, 2.83 mmol) and  $Pd(PPh<sub>3</sub>)<sub>4</sub>$  (0.16 g, cat. amount) in anhydrous THF (10 mL), and the mixture was heated under reflux for 16 h. After cooling down to room temperature, the solvent was evaporated yielding a yellow powder, which was dissolved in  $CH_2Cl_2$  (25 mL). Trifluoroacetic acid (0.5 g, cat. amount) was added to this mixture and it was stirred at room temperature for another 16 h, whereupon the mixture was poured into  $H<sub>2</sub>O$  (50 mL), extracted with  $CHCl<sub>3</sub>$  and dried (MgSO<sub>4</sub>). The combined organic layers were concentrated and the residue was subjected to column chromatography (silica gel: EtOAc/hexanes 1:2) to yield compound 29 (1.58 g, 71%) as a white powder. M.p.  $166-168$ °C; <sup>1</sup>H NMR (CD<sub>3</sub>COCD<sub>3</sub>, 500 MHz):  $\delta$ =3.57 (br s, 1H), 3.64–3.66 (m, 4H), 3.94 (t, J=4.7 Hz, 2H), 3.98 (t, J= 4.7 Hz, 2H), 4.05 (t,  $J=4.7$  Hz, 2H), 4.23 (t,  $J=4.7$  Hz, 2H), 4.27 (t,  $J=$ 4.7 Hz, 2H), 4.33 (t,  $J=4.7$  Hz, 2H), 6.91 (d,  $J=4.7$  Hz, 2H), 6.91 (d,  $J=$ 8.6 Hz, 2H), 6.94 (d,  $J=8.7$  Hz, 1H), 6.97 (d,  $J=7.6$  Hz, 1H), 7.03 (d,  $J=$ 8.6 Hz, 2H), 7.33 (dd, J=4.7, 9.0 Hz, 1H), 7.34 (dd, J=4.7, 9.0 Hz, 1H), 7.53 (d, J=8.6 Hz, 2H), 7.59 (d, J=8.7 Hz, 2H), 7.81 (d, J=9.0 Hz, 1H), 7.83 (d,  $J=9.0$  Hz, 1H), 8.42 (s, 1H); MS (FAB):  $m/z$  (%): 580 (100)  $[M]^+$ ; elemental analysis calcd (%) for C<sub>36</sub>H<sub>36</sub>O<sub>7</sub> (580): C 74.46, H 6.25; found: C 74.11, H 6.29.

Half-dumbbell-shaped compound 30: A solution of compound 14 (842 mg, 0.80 mmol), compound 29 (465 mg, 0.8 mmol),  $K_2CO_3$  (484 mg, 4.0 mol), LiBr (10 mg, cat. amount) and [18]crown-6 (10 mg, cat. amount) in anhydrous MeCN (10 mL) was heated under reflux for 16 h. After cooling down to room temperature, the reaction mixture was filtered and

the solid was washed with  $Me<sub>2</sub>CO$  (30 mL). The combined organic solution was concentrated and the residue was purified by column chromatography (silica gel:  $CH_2Cl_2/EtOH$  100:3) to give the half-dumbbellshaped compound 30 (664 mg,  $82\%$ ) as a yellow powder. M.p. 115-117 °C; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz):  $\delta$  = 1.22 (t, J = 7.5 Hz, 3H), 1.29 (s, 18 H), 2.10 (t,  $J=5.8$  Hz, 1H), 2.61 (q,  $J=7.5$  Hz, 2H), 3.59-3.63 (m, 4H),  $3.70-3.79$  (m, 8H),  $3.80-3.85$  (m, 2H),  $3.88-3.93$  (m, 2H),  $4.00-4.08$  (m, 4 H), 4.08-4.14 (m, 4H), 4.17-4.25 (m, 4H), 4.27-4.37 (m, 8H), 6.20, 6.21 (2×s, 2H), 6.76 (d, J=8.7 Hz, 2H), 6.86 (d, J=8.7 Hz, 2H), 6.97-7.11  $(m, 14H), 7.23$  (d,  $J=8.5$  Hz,  $4H), 7.31-7.42$   $(m, 2H), 7.50-7.63$   $(m, 8H),$ 7.85 (d,  $J=9.0$  Hz, 2H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz):  $\delta = 15.1$ , 28.2, 29.6, 31.2, 34.2, 61.4, 63.1, 67.5, 67.6, 67.6, 67.9, 67.9, 68.1, 68.2, 68.3, 69.2, 69.3, 69.5, 70.0, 70.2, 70.5, 72.6, 105.6, 113.1, 114.6, 114.7, 116.0, 116.6, 116.6, 124.0, 125.0, 126.4, 126.8, 126.8, 127.8, 130.2, 130.5, 133.4, 134.2, 134.3, 134.4, 134.4, 139.0, 139.7, 141.7, 143.2, 144.9, 147.3, 154.2, 156.4, 158.1; MS(FAB):  $m/z$  (%): 1460 (100) [M]<sup>+</sup>; HRMS (FAB): calcd for  $C_{87}H_{96}O_{12}S_4$ : 1460.5785; found: 1460.5785.

Dumbbell-shaped compound 4: A solution of compound 30 (100 mg, 0.07 mmol), the dendritic chloride 31 (109 mg, 0.14 mmol), NaH (48 mg, 2.0 mol), NaI (10 mg, cat. amount) and [15]crown-5 (10 mg, cat. amount) in anhydrous THF (10 mL) was heated under reflux for 16 h. After cooling down to room temperature, MeOH (1 mL) was added to the reaction mixture. The solvent was evaporated and the residue was purified by column chromatography (silica gel:  $E$ tOAc/CH<sub>2</sub>Cl<sub>2</sub> 1:2) to give the dumbbell-shaped compound 4 (80 mg, 51%) as a yellow powder. M.p. 65–68 °C; <sup>1</sup>H NMR (CD<sub>3</sub>COCD<sub>3</sub>, 500 MHz):  $\delta = 1.20$  (t, J = 7.5 Hz, 3H), 1.30 (s, 18H), 2.57 (q, J=7.5 Hz, 2H), 3.28 (s, 6H), 3.29 (s, 3H), 3.47± 3.51 (m, 6H), 3.59-3.70 (m, 16H), 3.75-3.80 (m, 10H), 3.81-3.83 (m, 2 H), 3.97 (t,  $J=4.7$  Hz, 4H), 4.03 (t,  $J=4.7$  Hz, 2H), 4.08-4.14 (m, 8H), 4.18 $-4.21$  (m, 2H), 4.23 (t,  $J=4.7$  Hz, 2H), 4.28 $-4.32$  (m, 8H), 4.47 (s, 2 H), 4.90 (s, 2H), 4.98 (s, 4H), 6.32, 6.44, 6.59 ( $3 \times s$ , 2H), 6.73 (s, 2H), 6.78-6.85 (m, 4H), 6.91-6.96 (m, 6H), 7.00-7.04 (m, 4H), 7.04-7.14 (m, 10H), 7.25-7.35 (m, 12H), 7.55-7.65 (m, 8H), 7.85 (d, J = 8.2 Hz, 1H), 7.87 (d,  $J=8.2$  Hz, 1H); <sup>13</sup>C NMR (CD<sub>3</sub>COCD<sub>3</sub>, 125 MHz):  $\delta = 14.6, 27.7,$ 29.1, 30.6, 33.8, 57.8, 63.1, 67.5, 67.5, 67.5, 67.6, 67.6, 67.7, 68.1, 69.3, 69.4, 69.5, 69.5, 69.6, 69.6, 70.3, 70.4, 70.4, 70.7, 71.7, 72.5, 74.2, 105.9, 105.9, 107.2, 107.2, 113.3, 114.0, 114.3, 114.4, 115.0, 116.1, 116.2, 123.9, 124.9, 126.5, 126.6, 126.6, 126.9, 127.5, 127.6, 129.1, 129.7, 129.7, 130.5, 130.7, 131.8, 132.9, 134.1, 134.3, 134.7, 134.9, 137.8, 138.8, 139.4, 141.3, 144.3, 144.6, 148.2, 152.8, 154.4, 157.0, 158.7, 158.8; MS(FAB): m/z (%): 2224 (70)  $[M]^+, 1460$  (100); elemental analysis calcd (%) for C<sub>130</sub>H<sub>150</sub>O<sub>24</sub>S<sub>4</sub>: C 70.18, H 6.79; found: C 69.88, H 6.87.

[2]Rotaxane  $3.4$ PF<sub>6</sub>: A solution of dumbbell-shaped compound 4 (200 mg, 0.10 mmol), the dicationic salt  $22.2 \text{PF}_6$  (212 mg, 0.30 mmol) and  $\alpha$ , $\alpha'$ -dibromo-p-xylene (23) (79 mg, 0.30 mmol) in anhydrous DMF (10 mL) was stirred for 10 d at room temperature (after approximately 2d, the color changed to dark green and a white precipitate formed). The green suspension was subjected to column chromatography (silica gel) and the unreacted compound 4 was eluted with  $Me<sub>2</sub>CO$ . Thereafter, the eluent was changed to  $Me_2CO/NH_4PF_6$  (1.0 g  $NH_4PF_6$  in 100 mL  $Me<sub>2</sub>CO$ ) and a green band containing the rotaxane  $3.4$  PF<sub>6</sub> was collected. Most of the solvent was removed under vacuum. After adding  $H_2O$ (50 mL) to the residue, the precipitate was collected by filtration, washed with Et<sub>2</sub>O (30 mL), and dried in vacuo to afford the rotaxane  $3.4$  PF<sub>6</sub> (210 mg,  $60\%$ ) as a green powder. M.p. 115 $\degree$ C (decomposed without melting); <sup>1</sup>H NMR (CD<sub>3</sub>CN, 500 MHz):  $\delta$  = 1.18 (2 × t, J = 7.5 Hz, 3 H), 1.26 $-1.30$  (m, 18H), 2.57 (q, J = 7.5 Hz, 2H), 3.26 (s, 9H), 3.45 $-3.48$  (m, 6 H), 3.57-3.61 (m, 6H), 3.71-3.80 (m, 8H), 3.85-4.28 (m, 40H), 4.42 (s, 2 H), 4.78 (s, 2H), 4.89 (s, 4H), 5.43-5.70 (m, 8H), 6.03, 6.11, 6.22, 6.28  $(4 \times s, 2H)$ , 6.50 (d, J=6.8 Hz, 1H), 6.55 (d, J=6.8 Hz, 1H), 6.60-6.70 (m, 4H), 6.71-6.94 (m, 6H), 6.96-7.12 (m, 14H), 7.14-7.37 (m, 16H), 7.42-7.62 (m, 14H), 7.64-7.82 (m, 8H), 8.84-9.01 (m, 8H); MS (FAB):  $m/z$ : 3179  $[M-PF_6]^+$ , 3034  $[M-2PF_6]^+$ , 2888  $[M-3PF_6]^+$ , 1517  $[M-2PF_6]^{2+}$ , 1445  $[M-3PF_6]^{2+}$ , 1372  $[M-4PF_6]^{2+}$ ; elemental analysis calcd (%) for  $C_{166}H_{182}F_{24}N_4O_{24}P_4S_4$  (3324): C 59.96, H 5.52, N 1.68; found: C 59.69, H 5.43, N 1.65; UV/Vis (MeCN):  $\lambda_{\text{max}} = 846 \text{ nm}, \ \varepsilon =$  $2900 \text{ L} \text{mol}^{-1} \text{cm}^{-1}$ .

Half-dumbbell-shaped compound 32: A solution of compound 21  $(519 \text{ mg}, 0.50 \text{ mmol})$ , compound 11 (297 mg, 0.50 mmol), K<sub>2</sub>CO<sub>3</sub> (267 mg, 2.0 mol), LiBr (10 mg, cat. amount) and [18]crown-6 (10 mg, cat. amount) in anhydrous MeCN (10 mL) was heated under reflux for 16 h. After

cooling, the reaction mixture was filtered and the solid was washed with Me<sub>2</sub>CO. The combined organic solution was concentrated, and the residue was purified by column chromatography (silica gel:  $CH_2Cl_2/EtOH$ 100:3) to give the half-dumbbell-shaped compound 32 (467 mg, 64%) as a yellow powder. M.p. 106–108°C; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz):  $\delta = 1.23$  $(t, J=7.6 \text{ Hz}, 3\text{ H}), 1.30 \text{ (s, 18H)}, 2.15 \text{ (brs, 1H)}, 2.62 \text{ (q, } J=7.5 \text{ Hz}, 2\text{ H}),$  $3.58-3.63$  (m, 4H),  $3.64-3.68$  (m, 4H),  $3.72-3.77$  (m, 4H),  $3.89$  (t,  $J=$ 4.4 Hz, 2H), 3.98 (t, J=4.8 Hz, 2H), 4.04 (t, J=4.8 Hz, 2H), 4.06 (t, J= 4.9 Hz, 2H), 4.09 (t, J=4.8 Hz, 2H), 4.15 (t, J=4.8 Hz, 2H), 4.20 (t, J= 4.8 Hz, 2H), 4.23 (t,  $J=4.8$  Hz, 2H), 4.28 (s, 2H), 4.30 (s, 2H), 4.31-4.34 (m, 4H), 6.19, 6.20 (2×s, 2H), 6.76 (d,  $J=8.9$  Hz, 2H), 6.84 (d,  $J=$ 8.7 Hz, 2H), 7.01 (d,  $J=8.8$  Hz, 2H), 7.02 (d,  $J=8.9$  Hz, 2H), 7.03-7.10 (m, 10H), 7.22 (d,  $J=8.5$  Hz, 4H), 7.31 (t,  $J=8.7$  Hz, 1H), 7.32 (t,  $J=$ 8.7 Hz, 1H), 7.54 (d, J=8.8 Hz, 2H), 7.56 (d, J=8.8 Hz, 2H), 7.60 (s, 4 H), 7.86 (d,  $J=8.70$  Hz, 1H), 7.87 (d,  $J=8.7$  Hz, 1H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz): d=15.2, 28.1, 29.6, 31.3, 34.2, 61.7, 63.0, 67.2, 67.4, 67.6, 67.9, 67.9, 68.1, 68.2, 68.2, 69.1, 69.2, 69.2, 69.3, 69.8, 69.9, 69.9, 70.0, 70.2, 70.7, 72.3, 105.6, 113.1, 114.5, 114.6, 114.9, 114.9, 116.2, 116.3, 116.5, 124.0, 125.0, 126.5, 126.7, 126.9, 127.8, 130.6, 130.9, 132.1, 133.4, 134.2, 134.3, 134.4, 134.4, 139.0, 139.7, 141.3, 144.0, 144.5, 148.2, 154.2, 154.2, 156.4, 158.2; MS (FAB):  $m/z$  (%): 1460 (100) [M]<sup>+</sup>; HRMS (MALDI-TOF): calcd for C<sub>87</sub>H<sub>96</sub>O<sub>12</sub>S<sub>4</sub>Na: 1483.5682; found: 1483.5684.

Dumbbell-shaped compound 6: A solution of compound 32 (350 mg, 0.24 mmol), the dendritic chloride 31 (278 mg, 0.35 mmol), NaH (18 mg, 0.75 mol), NaI (10 mg, cat. amount) and [15]crown-5 (10 mg, cat. amount) in anhydrous THF (20 mL) was heated under reflux for 16 h. After the reaction had been cooled down to room temperature, the solvent was evaporated and the residue was purified by column chromatography (silica gel:  $EtOH/CH_2Cl_2$  2:100) to give the dumbbell-shaped compound 6 (352 mg, 66%) as a yellow powder. M.p. 78–80 $^{\circ}$ C; <sup>1</sup>H NMR  $(CD<sub>3</sub>COCD<sub>3</sub>, 500 MHz)$ :  $\delta = 1.18$  (t, J = 7.5 Hz, 3H), 1.27 (s, 18H), 2.57  $(q, J=7.5 \text{ Hz}, 2\text{ H}), 3.27 \text{ (s, 9H)}, 3.47 \text{ (t, } J=4.8 \text{ Hz}, 6\text{ H}), 3.55-3.68 \text{ (m, 16)}$ H), 3.74–3.81 (m, 8H), 3.92 (t,  $J=4.8$  Hz, 2H), 3.95 (t,  $J=4.8$  Hz, 2H), 3.99 (t,  $J=4.8$  Hz, 2H), 4.01 (t,  $J=4.8$  Hz, 2H), 4.08 (t,  $J=4.8$  Hz, 2H), 4.08–4.16 (m, 8H), 4.20 (t,  $J=4.8$  Hz, 2H), 4.26 (s, 2H), 4.28–4.32 (m, 8 H), 4.43 (s, 2H), 4.90 (s, 2H), 5.01 (s, 4H), 6.39, 6.40, 6.41, 6.43 (4×s, 2 H), 6.73 (s, 2H), 6.78-6.81 (m, 4H), 6.91-6.94 (m, 6H), 6.98-7.02 (m, 4 H), 7.04 $-7.14$  (m, 10H), 7.23 $-7.31$  (m, 8H), 7.36 (d,  $J = 8.3$  Hz, 4H), 7.53 $-$ 7.64 (m, 8H), 7.82 (d,  $J=8.3$  Hz, 1H), 7.84 (d,  $J=8.3$  Hz, 1H); <sup>13</sup>C NMR  $(CD_3COCD_3, 125 MHz)$ :  $\delta = 14.6, 27.7, 29.1, 30.6, 33.8, 57.8, 63.1, 67.5,$ 67.5, 67.5, 67.6, 67.6, 67.7, 68.1, 69.3, 69.4, 69.5, 69.5, 69.6, 69.6, 70.3, 70.4, 70.4, 70.7, 71.7, 72.5, 74.2, 105.9, 105.9, 107.2, 107.2, 113.3, 114.0, 114.3, 114.4, 115.0, 116.1, 116.2, 123.9, 124.9, 126.5, 126.6, 126.6, 126.9, 127.5, 127.6, 129.1, 129.7, 129.7, 130.5, 130.7, 131.8, 132.9, 134.1, 134.3, 134.7, 134.9, 137.8, 138.8, 139.4, 141.3, 144.3, 144.6, 148.2, 152.8, 154.4, 157.0, 158.7, 158.8; MS(FAB): m/z (%): 2224 (100) [M] <sup>+</sup>, 1460 (50); elemental analysis calcd (%) for  $C_{130}H_{150}O_{24}S_4$  (2224): C 70.18, H 6.79; found C 69.96, H 6.81.

[2]Rotaxane  $5.4PF_6$ : A solution of dumbbell-shaped compound 6 (320 mg, 0.14 mmol), the dicationic salt  $22.2PF_6$  (304 mg, 0.43 mmol) and  $\alpha$ , $\alpha'$ -dibromo-p-xylene (23) (114 mg, 0.43 mmol) in anhydrous DMF (20 mL) was stirred for 10 d at room temperature (after approximately 2d the color changed to dark green and a white precipitate formed). The green suspension was subjected to column chromatography (silica gel) and unreacted compound  $6$  was eluted with Me<sub>2</sub>CO, whereupon the eluent was changed to  $Me_2CO/NH_4PF_6$  (1.0 g  $NH_4PF_6$  in 100 mL Me<sub>2</sub>CO) and a green band containing the rotaxane  $5.4$  PF<sub>6</sub> was collected. Most of the solvent was removed under vacuum. After adding  $H_2O$  (50 mL) to the residue, the precipitate was collected by filtration, washed with  $Et<sub>2</sub>O$ (30 mL), and dried in vacuo to afford the rotaxane  $5.4$  PF<sub>6</sub> (265 mg, 57%) as a green powder. M.p. 185–187 °C; <sup>1</sup>H NMR (CD<sub>3</sub>CN, 500 MHz):  $\delta$  = 1.20 (t,  $J=7.6$  Hz, 3H), 1.29 (s, 18H), 2.61 (q,  $J=7.5$  Hz, 2H), 3.30 (s, 6 H), 3.31 (s, 3H), 3.50–3.52 (m, 6H), 3.63–3.65 (m, 6H), 3.79–3.83 (m, 10 H), 3.84-3.93 (m, 8H), 3.97-3.99 (m, 6H), 4.02-4.19 (m, 16H), 4.26-4.32 (m, 10H), 4.79 (s, 2H), 4.81 (s, 2H), 4.83 (s, 2H), 5.38-5.64 (m, 8H), 5.97, 6.03, 6.16, 6.24 (4 × s, 2H), 6.47 (d,  $J=8.4$  Hz, 2H), 6.76-6.87 (m, 6 H),  $6.92-6.96$  (m,  $6H$ ),  $7.03$  (d,  $J=8.8$  Hz,  $1H$ ),  $7.04$  (d,  $J=8.8$  Hz,  $1H$ ), 7.10 $-7.17$  (m, 10H), 7.24 (d,  $J = 8.4$  Hz, 4H), 7.29 $-7.37$  (m, 8H), 7.48 (d,  $J=8.8$  Hz, 1H), 7.49 (d,  $J=8.8$  Hz, 1H), 7.53-7.67 (m, 18H), 7.69-7.74  $(m, 4H), 7.81$  (d,  $J=8.8$  Hz, 1H), 7.83 (d,  $J=8.8$  Hz, 1H), 8.65-8.95 (m, 8H); MS (FAB):  $m/z$ : 3178  $[M-PF_6]^+$ , 3035  $[M-2PF_6]^+$ , 2888

 $[M-3PF_6]^+$ , 1517  $[M-2PF_6]^2$ <sup>+</sup>, 1446  $[M-3PF_6]^2$ <sup>+</sup>, 1372  $[M-4PF_6]^2$ <sup>+</sup>; elemental analysis calcd (%) for  $C_{166}H_{182}F_{24}N_4O_{24}P_4S_4$ : C 59.96, H 5.52, N 1.68; found: C 59.74, H 5.55, N 1.65; UV/Vis (MeCN):  $\lambda_{\text{max}} = 850 \text{ nm}, \varepsilon =$  $4200$  L mol<sup>-1</sup> cm<sup>-1</sup>.

Photophysical experiments: All the measurements were performed at room temperature in air-equilibrated MeCN solutions  $(2 \times 10^{-5} - 1 \times 10^{-4}$ m). UV/Vis absorption spectra were recorded with a Perkin-Elmer Lambda 40 spectrophotometer. Uncorrected fluorescence spectra were obtained with a Perkin-Elmer LS-50 spectrofluorimeter equipped with a Hamamatsu R928 phototube. The estimated experimental errors are: 2 nm on band maxima,  $\pm 5\%$  on the molar absorption coefficients and fluorescence intensity. The emission quantum yield of the p-dimethoxyterphenyl spacer was obtained using 1,5-dimethoxynaphthalene as a reference  $(\phi_{em} = 0.38$  in aerated MeCN solution).<sup>[49]</sup> An Edinburgh 199 single-photon counting apparatus was used for lifetime measurements. The experimental error on the lifetime values is estimated to be  $\pm 10\%$ .

Electrochemical experiments: Cyclic voltammetric (CV) and differential pulse voltammetric (DPV) experiments were carried out in argon-purged MeCN solution at room temperature with an Autolab 30 multipurpose instrument interfaced to a personal computer. The working electrode was a glassy carbon electrode  $(0.08 \text{ cm}^2, \text{ Amel})$ ; its surface was routinely polished with 0.05 mm alumina/water slurry on a felt surface, immediately prior to use. In all cases, the counter electrode was a Pt spiral, separated from the bulk solution with a fine glass frit, and an Ag wire was used as a quasi-reference electrode. 1,1-Dimethylferrocene<sup>[50]</sup>  $(+0.30 \text{ V} \text{ vs } \text{SCE})$ was present as an internal standard for all rotaxanes. For the dumbbells, because of peak overlapping problems, it was more convenient to use decamethylferrocene<sup>[51]</sup> as internal standard  $(-0.11 \text{ V}$  vs SCE). The concentrations of the compounds were in the range  $1 \times 10^{-4} - 5 \times 10^{-4}$  m; the experiments were carried out in the presence of 0.05m tetraethylammonium hexafluorophosphate as supporting electrolyte. Cyclic voltammograms were obtained with sweep rates in the range  $0.05-1.0 \text{ V s}^{-1}$ ; the DPV experiments were performed with a scan rate of 20 or  $4 \text{ mVs}^{-1}$ (pulse height 75 and 10 mV, respectively) and a duration of 40 ms. The reversibility of the observed processes was established by using the criteria of i) separation of 60 mV between cathodic and anodic peaks, ii) the close to unity ratio of the intensities of the cathodic and anodic currents, and iii) the constancy of the peak potenial on changing sweep rate in the cyclic voltammograms. The same halfwave potential values were obtained from the DPV peaks and from an average of the cathodic and anodic CV peaks, as expected for reversible processes. For irreversible processes the potential values were estimated from the DPV peaks. The number of exchanged electrons in the redox processes of the investigated dumbbells and rotaxanes was measured by comparing the current intensity of the CV waves and the area of the DPV peaks with those found for the two reversible and bielectronic reduction processes of the cyclopha $ne^{[21a, 33, 39]}$  36<sup>4+</sup> after correction for differences in concentration and diffusion coefficients.<sup>[52]</sup> The fitting and deconvolution of the DPV peaks were obtained by employing the equations proposed by Parry and Osteryoung.<sup>[53]</sup> The experimental error on the potential values was estimated to be  $\pm 10$  mV and  $\pm 20$  mV for reversible and irreversible processes, respectively.

**Chemical redox experiments:** Titration with a standardized  $Fe(CIO<sub>4</sub>)<sub>3</sub>$  solution was performed in MeCN solution at room temperature. The con centration of [2] rotaxane solution was  $2.0 \times 10^{-5}$  M. In the NMR spectroscopic experiments, 2.2 equivalents of the oxidant,  $tris(p- b r)$ nyl)amminium hexafluroantimonate,[23] were added to a 1 mm solution of 5 $\cdot$ 4 PF<sub>6</sub> in CD<sub>3</sub>CN at 243 K.

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